



Archived at the Flinders Academic Commons:

<http://dspace.flinders.edu.au/dspace/>

'This is the peer reviewed version of the following article: Williams, K. A., Kelly, T.-L., Lowe, M. T., Coster, D. J. and on behalf of all contributors to the Australian Corneal Graft Registry (2010), The Influence of Rejection Episodes in Recipients of Bilateral Corneal Grafts. American Journal of Transplantation, 10: 921–930. doi: 10.1111/j.1600-6143.2009.03002.x

which has been published in final form at

DOI:

<http://dx.doi.org/10.1111/j.1600-6143.2009.03002.x>

This article may be used for non-commercial purposes in accordance With Wiley Terms and Conditions for self-archiving¹.

Copyright (2010) John Wiley & Sons, Inc. All rights reserved.

1 **Original Article – Clinical Science**

2 **The Influence of Rejection Episodes in Recipients of Bilateral**
3 **Corneal Grafts**

4

5 K. A. Williams, T-L. Kelly, M. T. Lowe, and D. J. Coster, on behalf of all contributors to
6 the Australian Corneal Graft Registry

7 Department of Ophthalmology, Flinders University, Adelaide, Australia

8

9 Funding sources: This work was supported by the Department of Health and Ageing,
10 Commonwealth Government of Australia, and the National Health and Medical
11 Research Council of Australia. The authors declare no commercial interests or conflicts
12 of interest.

13

14 Corresponding author contact information: Professor K. A. Williams, Department of
15 Ophthalmology, Flinders Medical Centre, Bedford Park, SA 5042, Australia. Telephone:
16 618 8204 5047; FAX: 618 8277 0899; email: keryn.williams@flinders.edu.au

17

18 Running head: Rejection in bilateral corneal grafts

19

20 Number of words: 4,005

21

22 Key words: Corneal transplantation, bilateral transplant, rejection-risk

1 **Abstract**

2 We investigated whether a rejection episode in one graft was associated with rejection
3 in the other graft, in recipients with bilateral corneal transplants. In a prospectively-
4 maintained, national register of 14,865 followed corneal grafts, 1,476 patients with
5 bilateral penetrating corneal grafts were identified. Occurrence of rejection was a risk
6 factor for graft failure ($p < 0.0001$). Logistic regression was used to calculate the adjusted
7 odds ratio for rejection in one eye following rejection in the other eye. In the subset of
8 1,118 patients with bilateral grafts but no history of previous grafts or rejections in either
9 eye, the adjusted odds ratio for a rejection episode in the first eye following rejection in
10 the second was 3.27 (95% CI 1.85, 5.79; $p < 0.001$). The adjusted odds ratio was 2.04
11 (95% CI 1.07, 3.91; $p = 0.03$) for rejection in the second eye following rejection in the
12 first. The median time between the first rejection episode in one eye and the first
13 rejection episode in the other eye was 15 months. Patients with bilateral corneal grafts
14 who suffer a graft rejection episode in one eye are at significantly greater odds of
15 suffering a rejection episode in the other corneal transplant.

16

1 **Introduction**

2 Irreversible corneal graft rejection is a common cause of human corneal transplant
3 failure (1, 2). In any cohort of patients with penetrating corneal grafts, a proportion may
4 have bilateral grafts. Over twenty years ago, Meyer reviewed the literature on the likely
5 influence of a second corneal graft on the risk of rejection in either eye in patients with
6 bilateral grafts, and was unable to draw firm conclusions from the available data (3). In
7 a more recent study, Musch and Meyer studied 90 patients with bilateral grafts, with
8 follow-up ranging from 4-108 months for both eyes considered together, and concluded
9 that there was no increased risk of rejection in the first eye after penetrating
10 keratoplasty in the second eye (4).

11
12 We were interested in a rather different question: specifically, whether the occurrence of
13 a rejection episode in either grafted eye would affect the risk of rejection in the graft in
14 the other eye. This issue has some implications for clinical management, and is also of
15 interest to investigators exploring the mechanisms involved in corneal graft rejection.
16 Accordingly, we identified 1,476 patients with bilateral corneal grafts in a prospectively-
17 collected clinical database containing records of 14,865 penetrating corneal grafts
18 followed for 1 to 24 years, and investigated the influence of bilateral transplantation on
19 the risk of rejection in either eye.

20

21 **Subjects and Methods**

22 ***Australian Corneal Graft Register***

23 The Australian Corneal Graft Register was established in May 1985 to follow the
24 outcomes associated with corneal transplants performed nationally. Records of corneal
25 transplantation and subsequent follow-up have been submitted by 634 ophthalmic

1 surgeons and other practitioners. Individual surgeons handle the consent process for
2 each patient according to local legislative requirements, to permit information to be
3 lodged with the register. The institutional Clinical Ethics Committee of Flinders
4 University oversees the operations of the register, which are carried out in accordance
5 with the Declaration of Helsinki.

6

7 ***Data collection and verification***

8 Using well-validated proformas, data were collected on the recipient, donor, eye bank,
9 operative procedure, post-operative management and post-operative course, as
10 previously described (5). Each corneal graft was followed at yearly intervals until graft
11 failure or until the death or loss to follow-up of the patient. Missing data were routinely
12 sought by follow-up letter to the contributing ophthalmologist or Eye Bank, as
13 appropriate. Data verification is inherent in the database structure, which contains
14 internal logic checks, but all records were independently verified by a second individual
15 against the record provided by the contributor.

16

17 ***Donor corneas***

18 All donor corneas were assessed within a licensed Eye Bank on the basis of the history,
19 slit-lamp analysis of the enucleated globes, and specular microscopy to confirm a
20 normal corneal endothelium. Serological testing to exclude some communicable
21 diseases was also performed. Advanced donor age was no specific barrier to donation,
22 and tissue matching of donor and recipient was not performed.

23

24 ***Definition of specified events before and after corneal transplantation***

25 Information was collected on specific risk factors for corneal graft failure (5). A history of

1 past inflammation was recorded if the individual was reported to have had such an
2 episode, if the patient had had one or more previous grafts or any previous intraocular
3 surgery in the same eye, or if there was a history of topical glucocorticosteroids use in
4 the two weeks immediately preceding the graft. Vessel ingrowth into the cornea at the
5 time of graft was scored on a scale of 0-4 (5). No distinction was made between
6 superficial or deep vessels, patent or ghost vessels, or single or multiple vessel
7 leashes. Intraocular pressure (IOP) was considered to be raised if a reading of ≥ 25 mm
8 mercury was made by applanation tonometry, but the final decision was at the
9 discretion of the ophthalmologist. Indications for transplantation, post-operative
10 complications and reasons for graft failure were coded using the International
11 Classification of Diseases system (ICD.9.CM, US Department of Health and Human
12 Services). To examine the influence of graft diameter, recipient bed size rather than
13 donor button size was used.

14
15 Primary non-functions were defined as grafts that never thinned and cleared in the
16 immediate post-operative period. The trial time in survival analysis for such grafts was
17 arbitrarily adjusted to one day. Any existing graft that was replaced by another in the
18 same eye, irrespective of graft clarity and for whatever reason, was classified as a failed
19 graft. In all other cases, graft failure was defined as oedema and irremediable loss of
20 clarity in a previously thin, transparent graft. The day of failure was the first day the
21 patient was seen with an oedematous, opaque graft that subsequently failed to thin and
22 clear. Rejection was defined as the development of inflammation and an epithelial or
23 endothelial rejection line and/or a unilateral anterior chamber reaction with corneal
24 infiltrates and spreading corneal oedema in a previously thin, transparent graft. Graft
25 failure can occur from causes other than rejection, and a rejection episode may be

1 reversible or irreversible.

2

3 ***Recipients with bilateral grafts***

4 At the census date of October 2009, the Registry held records of 19,387 penetrating
5 corneal grafts in 21,279 patients. Of the 19,387 grafts, 14,865 (77%) had been followed
6 on at least one annual occasion. Records with archival follow-up were available for
7 2,952 grafts in 1,476 individuals with bilateral corneal grafts. No recipient of bilateral
8 grafts had received two corneas from the same donor. For inclusion in this study, we
9 considered only recipients in whom a graft was performed in the second eye, in the
10 presence of a functioning graft in the other eye (the first eye). Thus, both grafts in both
11 eyes were concurrently transparent immediately after the surgery in the second eye.
12 Follow-up after surgery in the second eye extended for a median of 31 months (range
13 1-249 months, with short times reflecting graft failure).

14

15 ***Statistical analyses***

16 Data were amalgamated and de-identified prior to analysis using the software packages
17 SPSS v15 (SPSS Inc, Chicago, IL) and Stata v9 (StataCorp, College Station, TX). The
18 Pearson chi-square test was used to compare demographics and indications for corneal
19 transplantation in patients with bilateral grafts compared with all penetrating grafts, with
20 the significance level set at 0.05. Column percentages for each relevant category in the
21 cohort of all grafts were used to generate expected frequencies in the cohort with
22 bilateral grafts. The chi-square test was also used to test for associations between
23 rejection episodes in first and second eyes of patients with bilateral grafts, and to test
24 any association of recipient sex with rejection episodes. Kaplan-Meier survival functions
25 (6-8) were constructed to provide a graphical record of graft survival. For surviving

1 grafts, trial time was calculated as the time between the date of graft and the date on
2 which the patient was last seen. For failed grafts, trial time was calculated as the time
3 between the date of graft and the date of failure. Kaplan-Meier plots were also used to
4 determine rejection-free survival times. The log-rank statistic was used to examine
5 differences amongst plots. A Cox proportional hazards model was used for multivariate
6 survival analysis to determine risk factors for graft survival in the bilateral cohort.
7 Clustering by patient accounted for the correlation between eyes (9). A backwards
8 selection process was used to find statistically significant covariates ($p \leq 0.05$).

9
10 Matched logistic regression was used to determine whether the first or second grafted
11 eye was more at risk for rejection (10). Subsequently, subset analysis was performed
12 using each patient as the unit of analysis, to calculate the adjusted odds ratio for a
13 rejection episode in one grafted eye following a rejection episode in the other grafted
14 eye. Potential confounders considered in multivariate analyses are shown in Table 1;
15 graft size was square root-transformed to ensure linearity. Variables were checked for
16 confounding status using Pearson's χ^2 test for association with rejection episodes in first
17 and second eyes, and univariate logistic regression. Multivariate analysis included all
18 variables with associations at $p \leq 0.1$, in a forward selection process. Variables that were
19 not significant ($p \leq 0.05$) in the multivariate logistic regression were excluded from the
20 final model. A non-parametric K-sample test was used to test the equality of median
21 times to rejection in each eye of patients with bilateral grafts. Further survival analysis
22 was performed with time to rejection (after the second eye was grafted) as the trial time.
23 For patients with one or more rejections in the same eye, time after rejection was
24 analysed separately (10).

25

1

2 **Results**

3 ***Demographics of patients with bilateral grafts***

4 The demographics of recipients of bilateral grafts compared with the cohort of all
5 penetrating grafts are shown in Table 2. The cohorts were comparable, except that
6 patients with bilateral corneal grafts were significantly younger at transplantation
7 ($p < 0.001$). The indications for transplantation (Table 3) in patients with bilateral grafts
8 differed significantly from those of the total cohort ($p < 0.001$), in that more patients
9 required transplantation for keratoconus or a corneal dystrophy (conditions which are
10 frequently bilateral) in the former. The relative excess of patients with bilateral
11 transplants who were grafted for keratoconus explains the younger age distribution in
12 this cohort, as keratoconus typically manifests itself during adolescence.

13

14 ***Influence of rejection on corneal graft survival in the total cohort of penetrating*** 15 ***grafts***

16 We first examined the influence of rejection episodes (whether reversible or irreversible)
17 on graft survival in the cohort of all penetrating grafts (Figure 1). The occurrence of one
18 or more rejection episodes was a significant risk factor for corneal graft failure (log-rank
19 statistic $p < 0.0001$). Of the 14,865 penetrating corneal grafts followed, 3,442 had failed
20 and 1,126 (33%) of these had failed from irreversible graft rejection.

21

22 ***Influence of rejection episodes in patients with bilateral grafts***

23 We next examined the cohort of patients with bilateral corneal grafts. Of the 2,952 grafts
24 in 1,476 patients, 376 grafts had failed and of these, 110 (29%) had failed from
25 irreversible rejection. The occurrence of one or more rejection episodes was a

1 significant risk factor ($p < 0.0001$) for graft failure in univariate analysis (Figure 2) and a
2 significant independent risk factor for graft failure in Cox proportional hazards
3 regression (Table 4). Overall, risk factors for graft failure in the cohort with bilateral
4 corneal grafts were similar to those previously reported for the total cohort of
5 penetrating grafts (1, 11).

6
7 The occurrence of at least one rejection episode (after the second eye was grafted) in
8 neither, either or both eyes of 1,476 patients with bilateral grafts was then investigated.
9 Irreversible plus reversible rejection episodes, irreversible rejection episodes only, and
10 reversible rejection episodes only, were examined separately (Table 5). Irrespective of
11 whether rejection episodes were reversible or irreversible, the Pearson χ^2 test indicated
12 a significantly different number of rejection episodes between the two eyes. Further
13 one-sided Fisher's exact testing showed that second eyes had a significantly higher
14 number of rejection episodes than first eyes ($p < 0.001$). In subsequent analyses, all
15 rejection episodes (irreversible plus reversible) were considered together.

16
17 In some instances, a recipient with bilateral grafts had had a history of rejection
18 episodes in a graft in one or both eyes, *prior* to the index graft in the second eye (Table
19 6). Using the subset of 1,316 patients who had *not* previously suffered a rejection
20 episode in *any* graft in *either* eye, prior to the index graft in the second eye, matched
21 logistic regression was performed to analyse which eye was more likely to undergo graft
22 rejection (Table 7). The adjusted odds ratio for a rejection episode in the second of the
23 two bilateral grafts compared with the first was 2.21 (95% confidence interval (CI) 1.62,
24 3.02; $p < 0.001$). Corneal neovascularization in the graft was a significant covariate in this
25 analysis. Further matched logistic regression was performed to analyse whether the

1 order of bilateral rejection episodes was significant: it was not, with an odds ratio of 1.21
2 (95% CI 0.66, 2.22; $p=0.54$) for second eyes rejecting before first eyes.

3
4 Next, logistic regression analysis using the patient (rather than the eye) as the unit of
5 analysis was performed, to examine the influence of a rejection episode in either graft
6 on the likelihood of a subsequent rejection episode in the graft in the other eye.
7 Rejections in the first and second grafted eyes of 1,316 bilateral graft recipients who
8 had no previous history of graft rejection in either eye were analysed (Table 8). With
9 rejection in eye one as the outcome, the adjusted odds ratio was 2.99 (95% CI 1.79,
10 5.02; $p<0.001$) for rejection in eye two, compared with *no* rejection in eye two. Similarly,
11 the adjusted odds ratio was 2.15 (95% CI 1.22, 3.78; $p=0.008$) for rejection in eye two
12 following rejection in eye one, compared with *no* rejection in eye one.

13
14 The analysis was then repeated in the subset of 1,118 patients with bilateral grafts, but
15 with *no* history of previous grafts *or* rejections in *either* eye (Table 9). For a rejection
16 episode in the first eye following rejection in the second, the adjusted odds ratio was
17 3.27 (95% CI 1.85, 5.79; $p<0.001$). For a rejection episode in the second eye following
18 rejection in the first, the adjusted odds ratio was 2.04 (95% CI 1.07, 3.91; $p=0.03$).
19 Significant covariates were corneal neovascularization and keratoconus. Thus, after an
20 episode of rejection in one graft, the odds of a rejection episode in the other graft were
21 significantly increased, irrespective of whether the episode was reversible or led to graft
22 failure, and irrespective of past history of corneal transplantation or occurrence of
23 rejection episodes.

24

25 ***Time to rejection in patients with bilateral corneal grafts***

1 The median times at which rejection occurred in the 1,476 patients with bilateral grafts
2 were examined (Table 10). Although in some instances an episode of corneal graft
3 rejection in the first eye was followed swiftly by an episode in the other eye, the median
4 time between rejection episodes in bilateral grafts was approximately 15 months. In 21
5 first-grafted eyes with a previous rejection in the same graft, a further rejection occurred
6 after the second eye was grafted. For the total bilateral cohort and in eyes with no
7 previous rejection, median times from transplantation to the first rejection episode after
8 the second eye was grafted were similar; a test for equality of medians showed no
9 difference for eyes with and without previous rejections (continuity corrected Pearson
10 $\chi^2(1)=0.19$, $p=0.66$ for eye one; $\chi^2(1)=1.54$, $p=0.21$ for eye two). Thus, a history of
11 previous rejection did not influence the median time to rejection in the same eye.

12
13 Since each bilateral graft may have suffered multiple rejection episodes, the effect of a
14 rejection episode *at any time* after graft on subsequent rejection episodes was
15 examined. Time after each rejection episode was analysed separately. There were
16 2,601 grafts with no rejection episodes, 289 with one, 44 with two, 18 with three, plus
17 258 rejection-free periods following a rejection episode. Patients with previous
18 rejections in both eyes were excluded, leaving 1,501 at risk for the first eye and 1,659
19 for the second eye. Kaplan-Meier plots were generated to examine the effect of
20 rejection episodes in either the same or opposite eye on *subsequent* rejection (Figure
21 3). Grafts with a history of one or more rejections in either eye had significantly worse
22 ($p<0.001$) rejection-free survival compared with grafts with no such history. Grafts with
23 previous rejections in the *opposite* eye had significantly worse rejection-free survival
24 than grafts with no previous rejections, but better rejection-free survival than grafts with
25 previous rejections in the *same* eye ($p<0.0001$).

1

2 ***History of systemic sensitization in patients with bilateral corneal grafts***

3 The data were consistent with the possibility that the occurrence of a rejection episode

4 in the contralateral eye was associated with a history of systemic sensitization of the

5 recipient. A potential confounding factor might thus be recipient systemic sensitization

6 to foreign histocompatibility antigens present on a fetus, resulting from a past

7 pregnancy. Gender was not associated with rejection in the first grafted eye (Pearson's

8 $\chi^2(1)=1.01$, $p=0.31$), therefore gender was not a risk factor in the analysis. A history of

9 pregnancy was thus unlikely to have accounted for the finding that a rejection episode

10 in the graft in one eye predisposes the recipient to rejection in a graft in the other eye.

11

12

1 **Discussion**

2 Using Registry data, we report that in a cohort of patients with bilateral corneal grafts,
3 the occurrence of a rejection episode was a significant risk factor for graft failure.
4 Second eyes to be grafted suffered significantly more rejection episodes than first eyes
5 to be grafted. In recipients of bilateral grafts who had no previous history of rejection in
6 either eye, those who suffered a graft rejection episode in one eye were then at a
7 significantly greater risk of suffering a rejection episode in the graft in the other eye. A
8 similar finding was observed when the subset of patients who had never had a previous
9 graft nor a rejection episode in either eye was examined. The median time between
10 rejection episodes in bilateral grafts was 15 months.

11
12 Registries, increasingly being used to fill evidence-gaps that may not be amenable to
13 randomised controlled clinical trials (12), have inherent strengths and weaknesses.
14 Strengths include the long-term follow-up of patients who have undergone a surgical
15 intervention “in the real world”. In the context of this study, the approach is accepting of
16 individual surgeon variations in case selection, surgical technique and post-operative
17 management, important because corneal transplantation is performed in a mixture of
18 practice settings. In Australia, all donor corneas must be provided by a licensed Eye
19 Bank and corneal grafts reported to the Registry, so that case ascertainment is high.
20 The major weakness is loss to follow-up, which can occur either because the death of a
21 recipient has not been notified to the contributing ophthalmologist, or because the
22 recipient has chosen not to attend a scheduled appointment. However, all patients with
23 corneal grafts are counselled to seek medical attention, should they notice symptoms of
24 corneal graft rejection such as pain, reddening of the eye, or decreased visual acuity.
25 The non-random selection of cases with rejection episodes is unlikely to have been an

1 issue, and patients with bilateral grafts were followed for a median of 31 months after
2 corneal transplantation in the second eye. However, a potential source of uncontrolled
3 variation relates to the immunosuppressive regimen provided to corneal graft recipients.
4 There is no gold standard for the prophylaxis or treatment of corneal graft rejection (2).
5 Systemic immunosuppression is seldom used, and although all grafts are treated with
6 topical glucocorticosteroids, the type of steroid, concentration, and regimen of
7 administration vary considerably (13).

8
9 All our analyses support the contention that an episode of rejection in one corneal graft
10 significantly increases the odds of rejection of a graft in the other eye. There are at least
11 two possible explanations for our findings, which have not to our knowledge been
12 reported previously in humans. The individual patient who suffers rejection episodes in
13 both grafts may conceivably be immunologically hyper-reactive and therefore prone to
14 rejection. An alternative scenario, which we favour, is that the patient has become
15 systemically sensitized to mismatched histocompatibility antigens present on the graft in
16 one eye, some of which are also present on the graft in the contralateral eye.

17
18 Despite being considered as an immune-privileged site, the eye is not sequestered from
19 the immune system (14). It has been known for over 35 years that the combination of
20 corneal graft neovascularization, inflammation, and deliberate systemic sensitization to
21 donor antigens will together ensure penetrating corneal graft rejection in outbred
22 experimental models (15). In a model of orthotopic corneal transplantation in the inbred
23 rat, a second orthotopic corneal graft in either a previously grafted ipsilateral eye or into
24 the normal contralateral eye was rejected at an accelerated tempo compared with the
25 first ipsilateral graft, but the second graft was rejected at the same tempo irrespective of

1 the eye into which it was placed, suggesting that systemic sensitization had occurred as
2 a result of the first graft (16). The phenomenon of anterior chamber-associated immune
3 deviation, in which introduction of foreign antigen into the anterior chamber of
4 experimental animals results in a systemic depression of the delayed type
5 hypersensitivity response to that antigen (17), is further evidence of an interaction (albeit
6 immunomodulatory) between the eye and the systemic immune response. The relative
7 immune privilege enjoyed by the normal cornea and anterior segment is, however,
8 readily broken by the sequelae of neovascularization and inflammation (2, 18), and
9 human corneal recipients with long-surviving grafts are probably not truly tolerant, in
10 that rejection generally occurs once immune privilege has been perturbed.

11
12 The relatively long lag time that we observed between rejection episodes in bilateral
13 corneal grafts in some of our patients might argue against the likelihood that systemic
14 sensitization to histoincompatible antigens present on one graft had generated effector
15 cells that were poised to react to the other graft, that by chance carried some of the
16 same incompatible antigens. Should such effector cells have been generated by direct
17 antigen presentation (19) for example, then a more immediate rejection response might
18 have been expected. However, although the median time between rejection episodes in
19 the ipsilateral and contralateral grafts was 15 months, the range was very wide.
20 Furthermore, immune responsiveness was very probably modulated by the
21 administration of topical glucocorticosteroid prophylaxis in all patients. Our data are
22 consistent with the operation of either direct or indirect antigen presentation, or both,
23 occurring to induce systemic sensitization in the individual patient.

24
25 Irrespective of the mechanisms involved, our findings have clinical ramifications.

1 Corneal endothelial cell loss is a major risk factor for late penetrating corneal graft
2 failure (20-22), and thus even reversible rejection episodes may compromise corneal
3 graft survival and function. Patients with bilateral corneal grafts who have suffered a
4 rejection episode in one grafted eye should be counselled to seek prompt ophthalmic
5 care in the event that they notice any untoward symptoms in *either* eye, and
6 ophthalmologists may need to consider carefully, the timeframe over which topical
7 steroids are prescribed. In instances where a graft failure has occurred and a repeat
8 keratoplasty is being contemplated, then consideration might be given to maintenance
9 of topical immunosuppression in the longer term. It is of some concern in this context
10 that although topical glucocorticoid treatment is widely considered to be the gold
11 standard for the prevention and treatment of corneal graft rejection, there is little good
12 evidence to guide selection of the corticosteroid or indeed, any other
13 immunosuppressive drug (23), or the regimen of administration (24) in the post-
14 operative period. Tissue matching, found to be useful in some but not all studies (25,
15 26) may be another option when contemplating bilateral corneal transplantation in
16 patients with high-risk indications for graft. Further, careful consideration should be
17 given to the need for a corneal graft in the second eye of a patient at high-risk for
18 rejection, who has achieved good vision in a graft in the first eye. Irreversible rejection
19 remains a major cause of corneal graft loss (1, 11, 23, 27, 28), and there is a clear need
20 for an improved evidence-base for the prevention and treatment of rejection, to support
21 clinical practice.

22

23 **Acknowledgements**

24 The authors gratefully acknowledge the voluntary contribution of records by
25 ophthalmologists and other practitioners across Australia. This work was supported by

- 1 the Department of Health and Ageing, Commonwealth Government of Australia, and
- 2 the National Health & Medical Research Council of Australia.
- 3

1 **References**

- 2 1. Williams KA, Esterman AJ, Bartlett C, Holland H, Hornsby NB, Coster DJ on behalf
3 of all contributors to the Australian Corneal Graft Registry. How effective is
4 penetrating corneal transplantation? Factors influencing long-term outcome in
5 multivariate analysis. *Transplantation* 2006; 81: 896-901.
- 6 2. Coster DJ, Williams KA. The impact of corneal allograft rejection on the long-term
7 outcome of corneal transplantation. *Am J Ophthalmol* 2005; 140: 1112-1122.
- 8 3. Meyer RF. Corneal allograft rejection in bilateral penetrating keratoplasty: clinical
9 and laboratory studies. *Trans Am Ophthalmol Soc* 1986; 84: 664-742.
- 10 4. Musch DC, Meyer RF. Risk of endothelial rejection after bilateral penetrating
11 keratoplasty. *Ophthalmology* 1989; 96: 1139-1143.
- 12 5. Williams KA, Roder D, Esterman A, et al. Factors predictive of corneal graft survival:
13 report from the Australian Corneal Graft Registry. *Ophthalmology* 1992; 99: 403-
14 414.
- 15 6. Kaplan EL, Meier P. Nonparametric estimation from incomplete observations. *J Am*
16 *Stat Assoc* 1958; 53: 475-481.
- 17 7. Peto R, Pike MC, Armitage P, et al. Design and analysis of randomised clinical trials
18 requiring prolonged observation of each patient. I Introduction and design. *Br J*
19 *Cancer* 1976; 34: 585-612.
- 20 8. Peto R, Pike MC, Armitage P, et al. Design and analysis of randomised clinical trials
21 requiring prolonged observation of each patient. II Analysis and examples. *Br J*
22 *Cancer* 1977; 5: 1-39.
- 23 9. Williams RL. A note on robust variance estimation for cluster-correlated data.
24 *Biometrics* 2000; 56: 645-646.
- 25 10. Prentice, RL, Williams BJ, Peterson AV. On the regression analysis of multivariate

- 1 failure time data. *Biometrika* 1981; 68: 373–379.
- 2 11. Williams KA, Lowe M, Bartlett C, Kelly T-L, Coster DJ on behalf of all contributors.
3 Risk factors for human corneal graft failure within the Australian Corneal Graft
4 Registry. *Transplantation* 2008; 86: 1720–1724.
- 5 12. Dreyer NA, Garner S. Registries for robust evidence. *JAMA* 2009; 302: 790-791.
- 6 13. Barker NH, Henderson TRM, Ross CA, Coster DJ, Williams KA. Current Australian
7 practice in the prevention and management of corneal allograft rejection. *Clin
8 Experiment Ophthalmol* 2000; 28: 357-360.
- 9 14. Niederkorn JY. See no evil, hear no evil, do no evil: the lessons of immune privilege.
10 *Nat Immunol* 2006; 7: 354-359.
- 11 15. Khodadoust AA, Silverstein AM. Studies on the nature of the privilege enjoyed by
12 corneal allografts. *Invest Ophthalmol* 1972; 11: 137-148.
- 13 16. Banerjee S, Dick AD, Nicholls SM. Factors affecting rejection of second corneal
14 transplants in rats. *Transplantation* 2004; 77: 492-496.
- 15 17. Stein-Streilein J, Streilein JW. Anterior chamber associated immune deviation
16 (ACAID): regulation, biological relevance, and implications for therapy. *Int Rev
17 Immunol* 2002; 21: 123-152.
- 18 18. Williams KA, Coster DJ. The immunobiology of corneal transplantation.
19 *Transplantation* 2007; 84: 806-13.
- 20 19. Boisgerault F, Liu Y, Anosova N, Ehrlich E, Dana MR, Benichou G. Role of CD4+
21 and CD8+ T cells in allorecognition: lessons from corneal transplantation. *J Immunol*
22 2001; 167: 1891-1899.
- 23 20. Bourne WM, Hodge DO, Nelson LR. Corneal endothelium five years after
24 transplantation. *Am J Ophthalmol* 1994; 118: 185-196.
- 25 21. Bourne WM. Cellular changes in transplanted human corneas. *Cornea* 2001; 20:

- 1 560-569.
- 2 22.Armitage WJ, Dick AD, Bourne WM. Predicting endothelial cell loss and long-term
3 corneal graft survival. Invest Ophthalmol Vis Sci 2003; 44: 3326-3331.
- 4 23.Banerjee S, Dick AD. Recent developments in the pharmacological treatment and
5 prevention of corneal graft rejection. Expert Opin Investig Drugs 2003; 12: 29-37.
- 6 24.Bertelmann E, Pleyer U. Immunomodulatory therapy in ophthalmology – is there a
7 place for topical application? Ophthalmologica 2004; 218: 359-367.
- 8 25.The Collaborative Corneal Transplantation Studies Research Group. The
9 collaborative corneal transplantation studies (CCTS). Effectiveness of
10 histocompatibility matching in high-risk corneal transplantation. Arch Ophthalmol
11 1992; 110: 1392-1403.
- 12 26.Völker-Dieben HJ, Claas FH, Schreuder GM, Schipper RF, Pels E, Persijn GG,
13 Smits J, D'Amaro J. Beneficial effect of HLA-DR matching on the survival of corneal
14 allografts. Transplantation 2000; 70: 640-648.
- 15 27.George AJ, Larkin DF. Corneal transplantation: the forgotten graft. Am J Transplant
16 2004; 4: 678-685.
- 17 28.Ing JJ, Ing HH, Nelson LR, Hodge DO, Bourne WM. Ten-year postoperative results
18 of penetrating keratoplasty. Ophthalmology 1998; 105: 1855-1865.

19

20

21

1 Table 1: Risks factors examined as potential confounders in multivariate logistic
 2 regression analysis of 1,476 recipients with bilateral corneal grafts

Risk factor	Category	Number recipients (percent)
Sex of recipient	Female	756 (51%)
	Male	720 (49%)
Recipient age at first graft	<60 years	995 (67%)
	≥60 years	481 (33%)
Graft era (for first eye grafted)	1985-1996 inclusive	968 (65%)
	1997-2009 inclusive	508 (34%)
Corneal vascularization at graft, first eye	0 quadrants	1,235 (84%)
	1-4 quadrants	241 (16%)
Corneal vascularization at graft, second eye	0 quadrants	1,259 (85%)
	1-4 quadrants	217 (15%)
Inflammation in first eye, in past and/or at graft	No	999 (67%)
	Yes	477 (32%)
Inflammation in second eye, in past and/or at graft	No	1,042 (71%)
	Yes	434 (29%)
Keratoconus as bilateral indication for graft	No	705 (48%)
	Yes	771 (52%)
History of previous graft in first eye	No	1,300 (88%)
	Yes	176 (12%)
History of previous graft in second eye	No	1,302 (88%)
	Yes	174 (12%)
Graft size	√ distance from 8 mm diameter	1,476 (100%)
Neovascularization of first graft	No	1,409 (95%)
	Yes	67 (5%)
Neovascularization of second graft	No	1,427 (97%)
	Yes	49 (3%)

1 Table 2. Demographics of 2,952 bilateral corneal grafts in 1,476 recipients of bilateral
 2 grafts, compared with the total cohort of 14,865 penetrating corneal grafts

3	<hr/>		
4	Demographic	Bilateral grafts	Total cohort
5	<hr/>		
6	Female	1,510 (51%)	7,337 (49%)
7	Male	1,442 (49%)	7,528 (51%)
8	Age*	<30 years at graft	849 (29%)
9		30-59 years at graft	1,068 (36%)
10		>=60 years at graft	1,035 (35%)
11	Corneal neovascularisation	116 (4%)	964 (6%)
12	Graft size	<7 mm diameter	31 (1%)
13		7.0 – 7.4 mm	296 (10%)
14		7.5 – 7.9 mm	1,194 (42%)
15		8.0 – 8.4 mm	1,152 (41%)
16		8.5 – 8.9 mm	123 (4%)
17		9.0 – 9.9 mm	33 (1%)
18		=>10 mm	6 (<1%)
19	Era	1985-1988	301 (10%)
20		1989-1992	681 (23%)
21		1993-1996	621 (21%)
22		1997-2000	609 (21%)
23		2001-2004	573 (19%)
24		2005-2009	167 (6%)
25	<hr/>		

26 * χ^2 (2)=141.5; p<0.001 for difference between cohort with bilateral grafts and total
 27 cohort, in respect (only) of recipient age at graft.

28

1

2 Table 3: Indications for transplantation in 2,952 eyes of 1,476 patients with bilateral

3 corneal grafts, compared with indications in the total cohort of 14, 865 penetrating

4 corneal grafts

5

6 Indication

Bilateral grafts

Total cohort

7

8 Keratoconus

1,532 (52%)

5,249 (35%)

9 Bullous keratopathy

429 (15%)

3,908 (26%)

10 Failed previous graft

335 (11%)

1,817 (12%)

11 Corneal dystrophy

439 (15%)

1,336 (9%)

12 Other

217 (7%)

2,555 (17%)

13 Total

2,952 (100%)

14,865 (100%)

14

15 $\chi^2 (4)=353.3$; $p<0.001$ for difference between cohort with bilateral grafts and total

16 cohort.

17

1 Table 4: Significant risk factors for graft failure in 1476 patients with bilateral corneal grafts: Cox proportional hazards regression

2

3

4	Variable		Hazard ratio (95% CI)	p
5	Rejection episode/s	No	1.0	
6		Yes	3.01 (2.13, 4.26)	p<0.001
7	Keratoconus	No	1.0	
8		Yes	0.26 (0.17, 0.40)	p<0.001
9	Corneal vascularization at graft	0 quadrants	1.0	
10		1 or more quadrants	1.56 (1.01, 2.42)	p=0.045
11	Vascularization post graft	No	1.0	
12		Yes	2.04 (1.05, 3.96)	p=0.04
13	Aphakia	No	1.0	
14		Yes	2.16 (1.24, 3.78)	p=0.007
15	Postoperative microbial keratitis	No	1.0	
16		Yes	3.16 (1.70, 5.84)	p<0.001
17	Raised intraocular pressure at graft	No	1.0	
18		Yes	9.45 (2.30, 38.8)	p=0.002
19	Arrangements for follow-up	By surgeon	1.0	
20		Elsewhere	0.52 (0.32, 0.85)	p=0.008
21	Removal of graft sutures	Every unit increase in ln (year)	0.76 (0.60, 0.95)	p=0.02
22	Graft size	√ distance from 8 mm diameter	1.85 (1.13, 3.00)	p=0.01

23

1 Table 5: Occurrence of rejection episode/s in neither, either or both eyes of 1,476 patients with bilateral penetrating corneal grafts

Type of rejection episode		Rejection episode			χ^2 (1)	p	
		in eye two					
		No	Yes	Total			
7 All episodes, 8 (irreversible+ 9 reversible)	Rejection episode	No	1,176 (80%)	167 (11%)	1,343 (91%)	64.5	p<0.001
	in eye one	Yes	82 (5%)	51 (4%)	133 (9%)		
		Total	1,258 (85%)	218 (15%)	1,476 (100%)		
11 Irreversible 12 episodes only	Rejection episode	No	1,374 (93%)	43 (3%)	1,417 (96%)	18.8	p<0.001
	in eye one	Yes	51 (4%)	8 (<1%)	59 (4%)		
		Total	1,425 (97%)	51 (3%)	1,476 (100%)		
15 Reversible 16 episodes only	Rejection episode	No	1,264 (86%)	138 (9%)	1,402 (95%)	60.3	p<0.001
	in eye one	Yes	45 (3%)	29 (2%)	74 (5%)		
		Total	1,309 (89%)	167 (11%)	1,476 (100%)		

19

1

2 Table 6: History of previous rejection episodes (reversible or irreversible) in 1,476 recipients with bilateral corneal grafts

3

4 Risk factor Number recipients (percent)

5

6 Rejection episode in previous graft in first grafted eye 32* (2%)7 Rejection episode in first grafted eye, prior to graft in second eye 121* (8%)8 Rejection episode in previous graft in second grafted eye 18* (1%)9 No prior rejection episode in any graft in either eye at time of graft in second eye 1316 (89%)

10

11 * Note categories are not mutually exclusive.

12

13

1

2 Table 7: Subset analysis: unadjusted and adjusted odds ratios for rejection in the second eye compared with the first eye in 1,316
3 recipients* of bilateral grafts who had not previously suffered a rejection episode in *any* graft in *either* eye

4

Covariate		Unadjusted odds ratio for rejection	p	Adjusted odds ratio for rejection	p
		(95% CI)		(95% CI)	
Eye number	One	1.0		1.0	
	Two	2.11 (1.56, 2.86)	p<0.001	2.21 (1.62, 3.02)	p<0.001
Vascularization in grafted eye	No	1.0		1.0	
	Yes	3.60 (1.34, 9.70)	p=0.01	4.45 (1.56, 12.70)	p=0.005

12

13 *1,081 patients (82%) had no rejections in either eye, 62 (5%) had rejections in eye one only, 131 (10%) had rejections in eye two
14 only and 42 (3%) had rejections in both eyes.

15

Table 8: Unadjusted and adjusted odds ratios for rejection, for each eye treated separately, in 1,316 recipients of bilateral corneal grafts* who had not previously suffered a rejection episode in *any* graft in *either* eye

Outcome	Significant covariate		Unadjusted odds ratio for rejection (95% CI)	p	Adjusted odds ratio for rejection (95% CI)	p
Rejection episode in eye one	Rejection episode, eye two	No	1.0		1.0	
		Yes	3.06 (1.84, 5.11)	p<0.001	2.99 (1.79, 5.02)	p<0.001
Rejection episode in eye two	Vascularization of graft in eye one	No	1.0		1.0	
		Yes	3.62 (1.75, 7.50)	p=0.001	3.46 (1.65, 7.26)	p=0.001
Rejection episode in eye two	Rejection episode, eye one	No	1.0		1.0	
		Yes	2.53 (1.47, 4.36)	p=0.001	2.15 (1.22, 3.78)	p=0.008
Rejection episode in eye two	Keratoconus	No	1.0		1.0	
		Yes	0.59 (0.42, 0.81)	p=0.001	0.54 (0.38, 0.77)	p=0.001
Rejection episode in eye two	Vascularization of graft in eye two	No	1.0		1.0	
		Yes	5.19 (2.77, 9.74)	p<0.001	4.94 (2.54, 9.62)	p<0.001

*1,081 patients (82%) had no rejections in either eye, 62 (5%) had rejections in eye one only, 131 (10%) had rejections in eye two only, 19 (1%) had a rejection in eye one followed by a rejection in eye two, and 23 (2%) had a rejection in eye two followed by a rejection in eye one.

1 Table 9: Unadjusted and adjusted odds ratios for rejection, for each eye considered separately, in 1,118 patients* with bilateral
 2 grafts but *no* history of previous grafts *or* rejections in *either* eye

3	4 Outcome	5 Covariate	6	7 Unadjusted odds ratio for rejection	8 p	9 Adjusted odds ratio for rejection	10 p
11	12	13	14	15 (95% CI)	16	17 (95% CI)	18
7	Rejection episode	Rejection episode,	No	1.0		1.0	
8	in eye one	eye two	Yes	3.37 (1.92, 5.93)	p<0.001	3.27 (1.85, 5.79)	p<0.001
9		Vascularization of	No	1.0		1.0	
10		graft in eye one	Yes	3.84 (1.71, 8.68)	p=0.001	3.61 (1.57, 8.29)	p=0.002
11	Rejection episode	Rejection episode,	No	1.0		1.0	
12	in eye two	eye one	Yes	2.48 (1.33, 4.64)	p=0.004	2.04 (1.07, 3.91)	p=0.03
13		Keratoconus	No	1.0		1.0	
14			Yes	0.58 (0.40, 0.83)	p=0.003	0.50 (0.34, 0.75)	p=0.001
15		Vascularization of	No	1.0		1.0	
16		graft in eye two	Yes	5.77 (2.76, 12.1)	p<0.001	5.32 (2.40, 11.8)	p<0.001

18 *932 patients (83%) had no rejections in either eye, 52 (5%) had rejections in eye one only, 101 (9%) had rejections in eye two only,
 19 14 (1%) had a rejection in eye one followed by a rejection in eye two, 19 (2%) had a rejection in eye two followed by a rejection in
 20 eye one.

21

1

2 Table 10: Time between transplantation and rejection episodes in 1,476 patients with bilateral corneal grafts

3

4

Time (months) between:

Median

Range

5

6 Transplant (date of graft, eye one) and first rejection episode in eye one

54

5-258

7 Transplant (date of graft, eye two) and first rejection episode in eye two

12

<1-190

8 First rejection in either eye and first rejection in other eye

15

<1-197

9 First rejection in eye one and second rejection in eye one

3

1-35

10 First rejection in eye two and second rejection in eye two

8

<1-124

11

12

13

1 **Figure Legends**

2

3 **Figure 1.** Kaplan-Meier survival plot of all penetrating corneal grafts with follow-up,
4 stratified according to the occurrence or otherwise of episodes of corneal graft rejection.
5 This univariate analysis was performed on the complete dataset (n=14,865) without
6 patient clustering. The numbers at risk at intervals of 3 years are shown below the plot.
7 The difference between the curves is significant at $p < 0.0001$ (log-rank statistic).

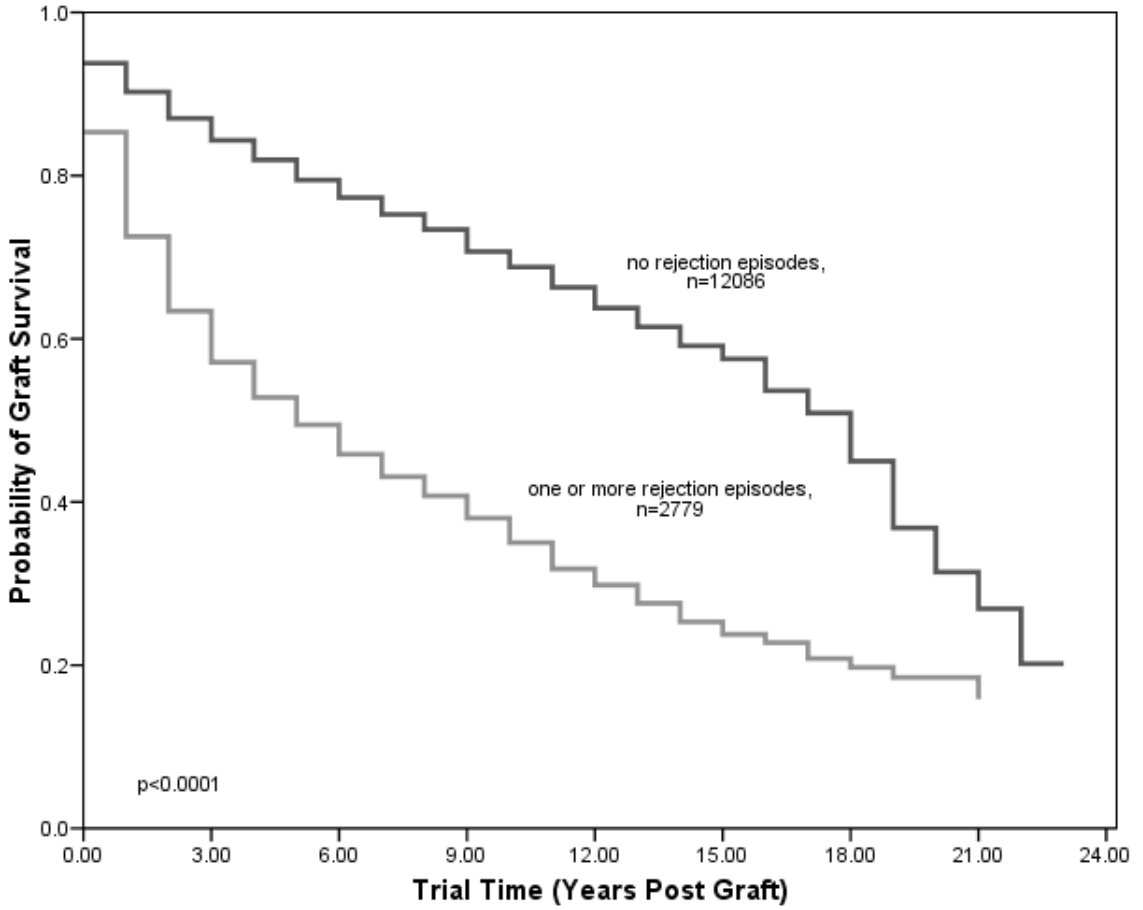
8

9 **Figure 2.** Kaplan-Meier survival plot of penetrating corneal grafts in recipients of
10 followed bilateral grafts, stratified according to the occurrence or otherwise of episodes
11 of corneal graft rejection. This univariate analysis was performed on the complete data
12 set (n=2,952) without patient clustering. The numbers at risk at intervals of 3 years are
13 shown below the plot. The difference between the curves is significant at $p < 0.0001$ (log-
14 rank statistic).

15

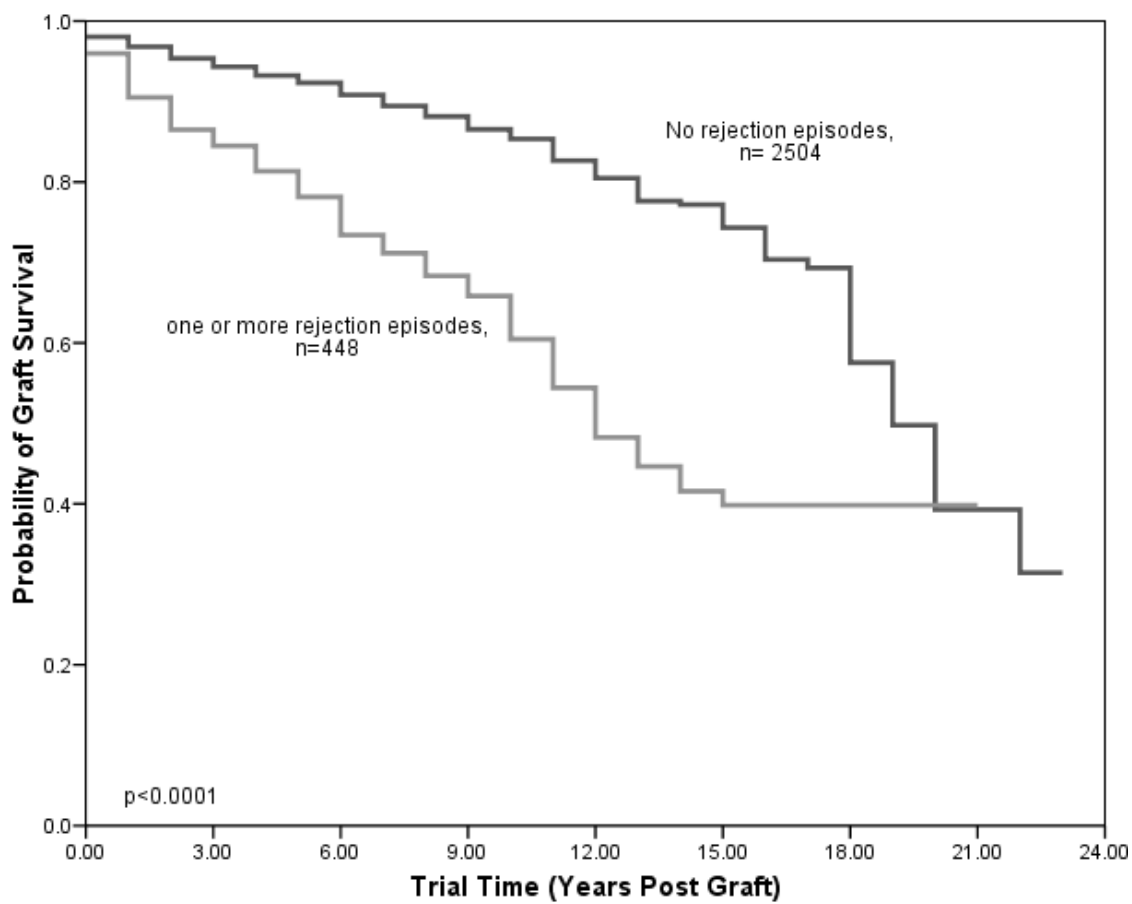
16 **Figure 3.** Kaplan-Meier plot of rejection-free survival stratified by first and second eyes
17 separately, with and without previous rejection episodes in the same eye or the
18 opposite eye, for the cohort of recipients with bilateral grafts. After a rejection episode,
19 time to a subsequent rejection in the same eye was analysed as separate event, giving
20 1,501 at risk for the first eye (Eye 1) and 1,659 for the second eye (Eye 2) to be grafted.
21 The numbers at risk at intervals of three years are shown below the plot (n/a = not
22 applicable). The differences between the curves in each set of plots (Eye 1, Eye 2) are
23 significant at $p < 0.0001$ (log-rank statistic).

Figure 1



Identity	Number at risk, years post graft							
	Initially	3	6	9	12	15	18	21
No rejection episodes	12,086	4,534	2,292	1,169	629	296	95	21
≥1 rejection episodes	2,279	1,232	657	329	161	66	19	7

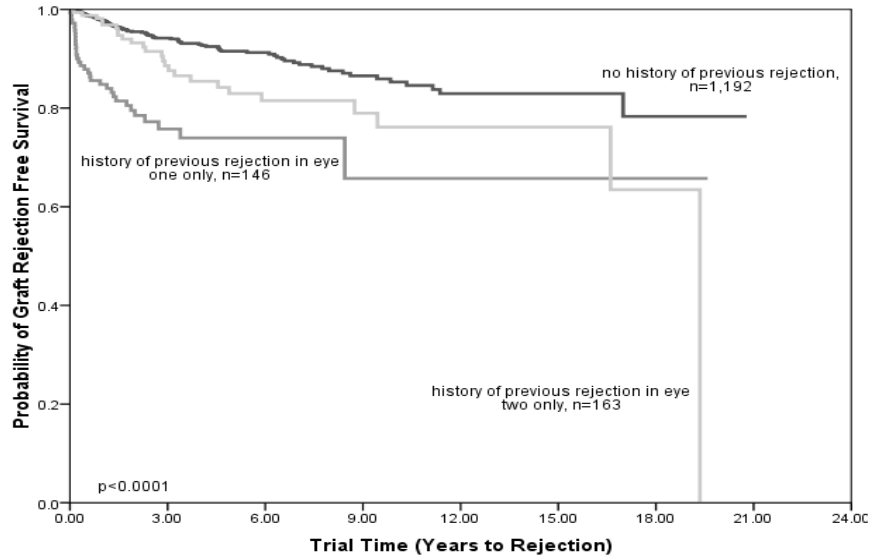
Figure 2



Identity	Number at risk, years post graft							
	Initially	3	6	9	12	15	18	21
No rejection episodes	2,504	1,368	793	442	265	135	53	12
≥ 1 rejection episodes	448	304	198	109	53	24	12	6

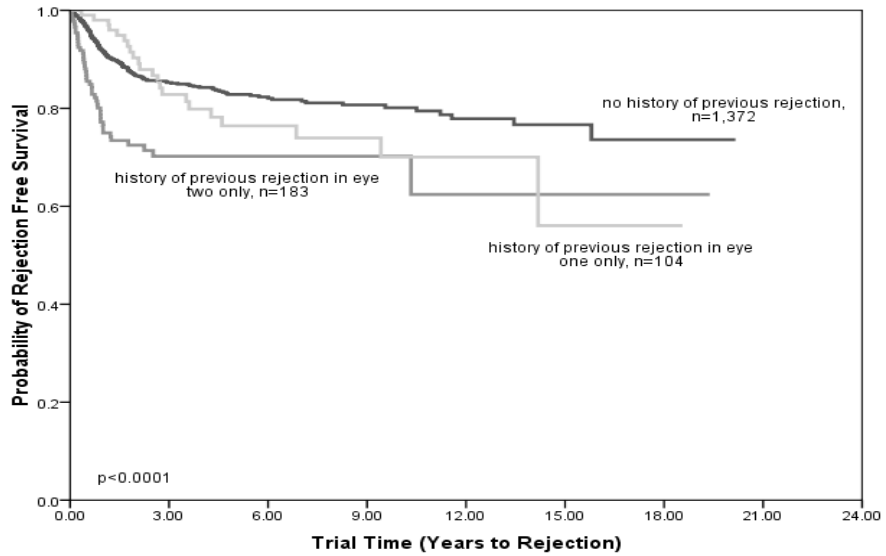
Figure 3

Eye 1



Identity	Initially	3 years	6 years	9 years	12 years	15 years	18 years	21 years
No history of previous rejection	1192	560	290	154	90	38	9	0
History of previous rejection in eye one	146	48	18	7	6	2	1	n/a
History of previous rejection in eye two	163	90	56	31	16	8	2	n/a

Eye 2



Identity	Initially	3 years	6 years	9 years	12 years	15 years	18 years	21 years
No history of previous rejection	1372	572	300	163	90	36	8	1
History of previous rejection in eye two	183	55	29	15	4	2	1	n/a
History of previous rejection in eye one	104	61	38	21	8	4	2	n/a