



Archived at the Flinders Academic Commons:

<http://dspace.flinders.edu.au/dspace/>

This is the Publishers' version of an paper presented at *ICAART 2015 - International Conference on Agents and Artificial Intelligence* . The original publication is available at:

<http://www.icaart.org/Home.aspx?y=2015>

Please cite this article as:

Nematzadeh, N (2015, January). Bioplausible multiscale filtering in retinal to cortical processing as a model of computer vision. In S. Lolseau, J. Filipe, B. Duval, & J. van den Herik. *Proceedings of the International Conference on Agents and Artificial Intelligence*. Paper presented at ICAART 2015: International Conference on Agents and Artificial Intelligence, Lisbon, Portugal (Paper no.42[13p]). SCITEPRESS

Copyright (2015) Science and Technology Publications Lda (SCITEPRESS). All rights reserved.

Bioplausible multiscale filtering in retinal to cortical processing as a model of computer vision

Nasim Nematzadeh, Trent W. Lewis, David M. W. Powers

*School of Computer Science, Engineering and Mathematics, Flinders University, Australia
{nasim.nematzadeh, trent.lewis@flinders.edu.au, david.powers}@flinders.edu.au*

Keywords: Visual perception, Cognitive systems, Pattern recognition, Biological neural networks, Self-organising systems, Geometrical illusions, Tilt effects, Difference of Gaussian

Abstract: Visual illusions emerge as an attractive field of research with the discovery over the last century of a variety of deep and mysterious mechanisms of visual information processing in the human visual system. Among many classes of visual illusion relating to shape, brightness, colour and motion, “geometrical illusions” are essentially based on the misperception of orientation, size, and position. The main focus of this paper is on illusions of orientation, sometimes referred to as “tilt illusions”, where parallel lines appear not to be parallel, a straight line is perceived as a curved line, or angles where lines intersect appear larger or smaller. Although some low level and high level explanations have been proposed for geometrical tilt illusions, a systematic explanation based on model predictions of both illusion magnitude and local tilt direction is still an open issue. Here a neurophysiological model is expounded based on Difference of Gaussians implementing a classical receptive field model of retinal processing that predicts tilt illusion effects.

1 INTRODUCTION

Our visual perception of the world is the result of the underlying processing of both parallel and progressive (multilevel) visual information, starting from the low level visual processing done in the retina and passing information through multiple levels of processing in the visual system. Visual illusions are some of the visual distortion experiences we encounter due to the limitations of our visual information processing. It is likely these effects emerge in specific processing stages either in low level processing done in the retina or higher level information processing in the cortex. Visual illusions are mainly evident near or beyond the thresholds of what our visual system can handle. So by studying the visual illusions, we can better understand the underlying mechanism and limitations, and more generally the processing done in our visual system. In the process we can also develop new understanding and techniques for computer and robot vision.

There are many approaches to the study of illusion perception such as Gestalt psychology (Gregory & Heard, 1979; Gilchrist et al., 1999), computational models (Fermüller & Malm, 2004; Robinson et al., 2007), neuro-biological, and

cognitive neuro-science approaches (Grossberg & Todorovic, 1988; Penacchio & Otazu, 2013). Our model is a bioplausible computational model inspired by the low level multiscale filtering performed in the retina itself.

The patterns explored are tilt illusions involving enhancement of texture backgrounds such as Checkerboard, Café wall and bulging checkerboard illusions. These types of illusions could be explained in three different ways including: The theory of “contrast and assimilation” (Smith et. al, 2001), “perceptual inferences and junctions analysis” providing high level explanations (Gilchrist et al., 1999; Grossberg and Todorovic, 1988; Anderson, 1997, 2005), or low level spatial filtering (Jameson, 1985; Blakeslee & McCourt, 2004).

For high-level explanation models, the “Scission Theory” proposed by Anderson (1997, 2005) triggers the parsing of targets into multiple layers of reflectance, transparency and illumination and predicts that erroneous decomposition leads to brightness illusions. Another high-level model is “anchoring theory” (Gilchrist et al., 1999) based on “grouping factors” that signal depth information.

Low-level theories are based on the mechanisms in early visual processing, e.g. simple image features such as contrast edges rather than global scene interpretation. For instance Jameson (1985)

proposed “Contrast/assimilation model” which qualitatively modelled both brightness contrast and assimilation based on parallel processing at multiple spatial scales by “Difference of Gaussians” (DoG) filters. Another example is Oriented-DoG (ODOG) model proposed by Blakeslee and McCourt (1999, 2004) applying multiple scale and oriented DoG filters to address many brightness/lightness illusions.

There is evidence that visual processing in retina is based on many resolutions simultaneously (ter Haar Romeny, 2003). The idea mentioned by Marr and Hildreth (1980) decades ago suggesting that retinal processing carries ‘signatures’ of the three-dimensional structure though did not received physiological evidence at that time. It seems that retinal low level multiscale processing provide band pass visual information of the scene which is an important factor in our real time quick visual processing.

About how close these different explanations can be, Dixon et al., (2013) claimed for connections between ODOG model (Blakeslee & McCourt, 1999) with higher level models such as “anchoring theory” of Gilchrist (1999). The key idea that is a common principle in multiscale, inference base brightness/lightness perception, mentioned to be high pass filtering tuned to the object size.

In this paper we explore a multiscale model based on the circular centre and surround mechanism of classical receptive field (CRF) in the retina relying on difference of Gaussian (DoG) filters while assuming some limited number of scales for the filter. The model’s output is a multiscale pyramid of DoG filtered outputs in which each scale of the filter creates a new layer of visual information. The amount of information and its accuracy is based on the neighbourhood size around the edges that defined by the surround size of retinal receptive field (RF) and proper scales of the DoG filters.

The outputs from different scales of the DoG filter can then be integrated. This multilayer representation has a significant power in revealing the underlying structure of the percept. It provides us with enough information to start processing and getting some preliminary 3D percept of the pattern, containing edges, shades, some textures and even may be some clues about the depth information. This multiscale DOG filtering representation might be the underlying mechanism to connect our model to some high level explanations (e.g. Gilchrist et al., 1999).

This paper is organised as follows. Section Two explains multiscale representation and spectral analysis in computer vision (CV). Section Three

seeks for biological connections of these mathematical transformations to our vision mainly relying on the mechanism of retinal RFs and classical receptive fields (CRFs) models. Section Four includes the details of our model, the experimental results on some tilt illusion patterns and a roadmap for our ongoing and future research.

2 FILTERING AND VISION

There is considerable physiological evidence for frequency and orientation tuning cells in our visual system like (Hubel & Wiesel, 1962) and image spectral analysis provides us important clues for the final percept as the result of our visual processing.

2.1 Multiscale representation

Experimental research in psychophysics and physiological findings has suggested the multiscale transforms as models of the processing and projections in the visual cortex of mammals. Hubel and Wiesel (1962) discovered a class of cells they called simple cells, which have their response based on the frequency and orientation of the visual stimuli based on their examination on the cat’s visual cortex. The physiological experiments showed that their response could be modelled with linear filters, whose impulse response has been measured at different locations of the visual cortex. Daugmann (1980) showed an approximation of impulse response of these cortical cells by applying Gaussian windows modulated by a sinusoidal wave in which spatial orientation tuning of these cells modelled by dilation of modulated Gaussians (e.g. Gabor functions).

In the 1970s and 1980s, the need to extract multiscale image information was established by many researchers (Rosenfeld, 1971; Marr, 1982; Burt & Adelson, 1983; Witkin, 1983) and some of their ideas have later been subsumed by the wavelet paradigm. The use of multiresolution sensor provides high-resolution information (fine scales) at selected locations and a large field of view with relatively little data (coarse scale) at the same time.

Multiresolution algorithms can be implemented using the multiresolution pyramid introduced by Burt and Adelson (1983). Among many recent studies on wavelets, Mallat (1996) was one of the first to show the impact of wavelets for low-level vision by concentrating on three major applications of wavelets, including multiresolution search, multiscale edge detection and texture discrimination.

Pyramidal image representation such as scale invariant transforms (Lowe, 1999) are better matched to human visual encoding than JPEG-DCT, and in particular don't need to partition an image into blocks before processing. Scale-space analysis can be performed based on image decomposition by finding the differences between a pair of scaled filters with different parameterizations e.g. Laplacian or Difference of Gaussian filters create a pyramidal scale hierarchy (Lindeberg, 2011). A comprehensive comparison of diverse range of geometric representations for different multiscale spatial, directional and frequency selectivity techniques is gathered by (Jacques et al., 2011).

Although pyramidal representation with additional scales is arguably over-complete, it has the potential to provide a lower error model of the data, and is more likely to provide the information at the level of detail required for a particular image or application. We further connect this to Marr's idea of 3D structure above the edge map (Marr & Hildreth, 1980). We will present illusion processing results that show evidence for this primitive causal effect in low level retinal visual. Currently the simulations of these high-level explanations for illusion magnitude and error predictions result in very complex CV models, which tend not to generalize!

Note further that self-organization models of repeated patterns of edge detectors at particular angles are well established (von der Malsburg, 1973). Higher level spatial aggregation of regularly spaced spots or edges in turn automatically gives rise to analogues of DCT and DWT type bases, the latter with localization determined by the higher level lateral interaction functions or the constraints of an underlying probabilistic connectivity model (Powers, 1983).

2.2 Image spectral analysis in CV

Image processing in spatial (pixel) domain and in spectral (frequency) domain have specific applications in CV, though frequency analysis of the visual scene seems more biologically plausible. The more popular discretised spectral transforms are includes DCT (Discrete Cosine Transform), DFT (Discrete Fourier Transform), STFT (Short Term Fourier Transform), and DWT (Discrete Wavelet Transform).

Such families of functions include not only bioplausible interaction functions as discussed in the previous section, but are also fundamental to JPEG and JPEG2000 compression. Those that are based on

true sinusoidals and/or Gaussians are perhaps not directly bioplausible, but usefully approximate those that are bioderived.

One of the main advantages of Fourier transformation is facilitating image filtering and convolution (Smith, 2003). The high/low pass filtering function clearly can contribute to a multiresolution model, as well as image sharpening and noise removal, and we can also model edge detection and texture matching in these terms. DFT/DCT are intrinsically global and also suffer from a "Leakage" problem (Merry and Steinbuch, 2005) due to periodically extending the signal.

Whenever localization either in space or time of spectral components is needed, windowed or enveloped versions can be used. For example STFT is calculated by finding DFT after multiplication by a window function, which is sliding over the entire image. A main drawback of STFT arises from the Nyquist-Heisenberg uncertainty principle (Merry and Steinbuch, 2005), meaning that finding an appropriate window size is a trade-off between time and frequency resolution.

Wavelets are a more general approach, and DWT has had a high impact on signal and image. By dilation and translation of a mother wavelet, extraction of very low frequency components at large scales and very high frequency component at small scales are possible. In our biological model, a Gabor-like family of wavelets is implied or self-organized, at different positions in the retinal map, and at different frequencies at different levels of processing.

The conventional wavelet has some limitations like shift sensitivity, poor directionality and lack of phase information, with newer techniques introduced to address them (Führ et al., 2006). Gabor wavelets are product of elliptical Gaussian and complex plane wave that provide directionality. Based on dilations and rotations of this generating function, a set of self-similar Gabor filters generates for different orientation and scale. This is a reliable technique for direction and scale tuneable edge and line detection. Gabor wavelet has the ability to characterize the underlying texture and image characteristics due to its ability in finding local features in small windows, with additional directional information (Xie et al., 2008; Ali & Powers, 2014). In our biological model, elongated Gabor-like filters can be self-organized as circular filters fire simultaneously along an edge and are mutually reinforced. Figure1 illustrates three different oriented filter banks on a sample scale.

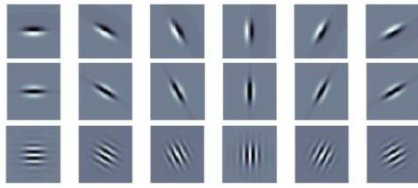


Figure 1: One sample scale of 6 oriented filter banks shown for (top) DoG, (middle) LoG, and (bottom) Gabor wavelet.

Although there is physiological evidence for frequency and orientation tuning cells both in the retina and cortex and the “self-organization map” of orientation sensitivity (von de Malsburg, 1973), there is still no specific evidence about the bioplausibility of particular mathematical transformations in our visual system, or demonstration that specific models are more likely than others.

3 BIOLOGY OF THE RETINA

3.1 Receptive fields from retina to cortex

The retina is a nerve tissue layer arranged in three main layers including photoreceptors (rods and cones), bipolar cells and ganglion cells (GCs). These layers are then connected through two intermediate layers of horizontal cells and amacrine cells (Fig.2).

The photoreceptors are the only retinal cells which directly convert light into nerve impulses and then transmit the impulses to layer two and three of the retina the bipolar neurons, and ganglion neurons respectively. Ganglion cells axons exit the eye and carry the visual signals to the visual cortex. The neurons in the intermediate layers also contribute in the visual processing. Horizontal cells transmit the photoreceptors outputs to a few surrounding bipolar neurons, and the amacrine cells; activate the GCs that are in their vicinity.

ON-centre and OFF-centre bipolar cells respond differentially to the light stimuli on their receptive field centres by either depolarization or hyperpolarization. Like bipolar cells, the GCs have a centre surround antagonism of concentric receptive fields, and in response to stimuli increase and decrease the rate of action potential discharges (McGill, 2014). Excitation and inhibition effect happening based on light stimuli on the centre of an ON-centre or OFF-centre GCs that can be easily implement by DoG filters.

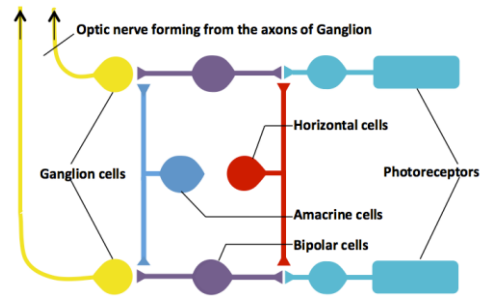


Figure 2: Retina layers including three main layers of photoreceptors, bipolar cells and ganglion cells, and two intermediate layers of horizontal cells and amacrine cells. The figure reproduced using (McGill, 2014).

Recent physiological findings showed new features of retinal ganglion cells (RGCs) properties in which dramatically expanded the retinal understanding processing. Field and Chichilnisky (2007) published a detailed study about circuitry and coding of the information processing inside the retina, mentioning that there are at least 17 distinct retinal ganglion cell types and explained how they contribute in the visual information encoding. Biological findings in size variation of RGCs due to eccentricity and dendritic field size (Shapley & Hugh Perry, 1986) have been implemented in neuro-computational eye models (e.g. Lourens, 1995; ter Haar Romeny, 2003).

A few types of RGCs found having orientation selectivity similar to the cortical cells (Barlow & Hill, 1963; Weng et al., 2005), even for horizontal and amacrine cells neurobiological evidence showed their elongated surround well beyond the CRF size believed to be responsible for orientation detection in the retina which modelled as retinal non-CRFs (nCRFs) models (Carandini, 2004; Cavanaugh et al., 2002; Wei et al., 2011).

All of these evidences indicate that based on the diversity of intra-retinal circuits, different types of RGCs (Field & Chichilnisky, 2007), and the variations of the size of each individual RGCs due to the retinal eccentricity (Lourens, 1995), the retinal cells have the underlying mechanics of multiscale processing from fine to coarse scales supporting Marr’s indication of full primal sketch in early stages of vision.

3.2 Retinal low level visual processing

Linear filtering has many applications in CV such as techniques for image improvement by sharpening the edges and reducing noise. These procedures take place by convolving the original image with an

appropriate filter kernel. In convolution, a rectangular grid of coefficients (weights) known as the kernel is multiplied by the activations of the neighbourhood elements of a particular pixel, and summed (or averaged or integrated). We now explain the relationship between the convolution operator and the point spread function inside retina.

3.3 Lateral Inhibition and Point Spread

Images can be viewed as a summation of impulses, for instance variations of scale and shifted delta function can generate an image. The characteristics of a linear systems evaluated based on their impulse responses, therefore the output image would be equal to the input image convolved with the system's impulse response. The impulse response is often called the point spread function (PSF) (Smith, 2003).

The human visual system is an excellent example of this concept. The first layer of the retina transforms an input of a pattern's light image into another pattern consisting of nerve impulses. The middle layer of the eye passes the bright spike, and produces a circular region of increased darkness. This process known as "lateral inhibition", means that if a nerve cell in the middle layer is activated, it decreases the ability of its nearby neighbours to become active. This biological convolution with its specific PSF improves the ability of the eye to understand the world.

The object recognition task and identifying nearby objects in visual system is based on distinguishing regions from their brightness and colours. The mechanism in layer two of retina by sharpening the edges, facilitate this task. In the processing of poor and blurry defined edge with gradual change from dark to light such as ramp Mach bands illusion, the brightness profile appearing on the optic nerve as the output from layer two, has overshoot and undershoot presence, reinforces the two regions between the light and dark areas to appear more abrupt (Smith, 2003).

The lateral inhibition mechanism in layer2 of the retina seems to be the underlying mechanism of low level models for addressing brightness lightness illusions. The middle layer of the retina is an edge enhancement or high-pass filter, but the first layer of the retina with nonlinear mechanism, approximately taking the logarithm of the incoming image for retinal gain control. This nonlinearity results in flattening the illumination component and makes it possible for the eye to see under poor light condition (Smith, 2003). Both nonlinearity and processing done in layer2 of the retina seems to be important

clues for addressing brightness lightness illusions (Kingdom, 2011), as well as tilt illusions which the latter haven't been broadly studied like the former.

3.4 Classical Receptive Field Models

Classical receptive field (CRFs) models mainly emphasize the contrast sensitivity of the retinal ganglion cells and are modelled based on the circular centre and surround antagonism using differences and second differences of Gaussians (DoG) or Laplacian of Gaussian (LoG) (Ghosh et al., 2007) to reveal the edge information.

The retinal CRF models date back to the 1960s when Rodieck & Stone (1965) and Enroth-Cuggel (1966) used the DoG model for implementing RFs of the RGCs based on their contrast sensitivity with centre having smaller Gaussian variance compared to the surround. Marr and Hildreth (1980) proposed replacing DoG with LoG and claimed the equivalence of DoG and LoG based on a certain ratio of σ (sigma) of the centre and surround Gaussians. Powers (1983) showed that DoG models can themselves results from a simple biophysical model of ontogenesis and can usefully approximate the interaction functions proposed in a variety of neural models.

Jameson (1985) developed an early model of brightness assimilation and contrast based on DoG filters with multiple spatial scales. In a later study (Jameson & Hurvich, 1989) they pointed out that this processing occurs in parallel and accounts for the simultaneous appearance of sharp edges and blended colour that define delimited regions. They claimed about the source of contrast and assimilation by saying that contrast effect happening when the stimulus components are relatively large compared to the centre of the filter, and assimilation effect arise when stimulus components are small compared to the filter centre. Similar explanations have been proposed for the checkerboard illusion by modelling multichannel analysis of human contrast sensitivity based on pattern's spatial frequency (Devalois & Devalois, 1988).

Our visual perception of a scene starts by extracting the edge map of the scene and DoG is a bioplausible implementation to model this process. The extracted edge map is an essential and primitive task in most image processing applications, but edge map alone cannot provide any information about the shades, lights, and also three dimensional structure of the image (Ghosh et al., 2007). Therefore according to Marr's "raw primal sketch", there is a need for further processing to get the "full primal

sketch” for a 3D view of the world (Marr & Hildreth, 1980).

Applying LoG (Marr & Hildreth, 1980) instead of DoG shows the possibility of involvement of higher order Gaussian derivatives in the filtering functions in retinal visual processing. Young (1985, 1987) introduced modelling of the retinal and cortical RFs of many neurons based on linear combination of Gaussians and higher derivatives of Gaussian. In a recent study, Ghosh et al., (2007) used the 4th and 6th order derivatives of Gaussians to extract the shade information next to the edges. Still there is no biological evidence on the structure of these functions.

The existence of new features in retinal cells showed more delicate retinal information processing which introduced the concept of non-classical receptive fields (nCRFs) of RGCs. The experimental findings done by Passaglia et al. (2001) indicated that the surround has an extension well beyond the CRFs. Based on the nCRFs implementation (Chao-Yi & Wu, 1994; Wei et al, 2012) Blakeslee and McCourt (2004) proposed a directional multiscale DoG filter model for explaining the magnitude of various White’s effect patterns. There are also approaches for nCRF implementation of the cortical cells (Rao & Ballard, 1999; Grigorescu et al., 2003; Tanaka & Ohzawa, 2009).

4 OUR MODEL

It has shown that the GCs excitation can be best described by centre surround organization (Mangel, 1991), which can be modelled by differences of two Gaussians (Linsenmeier et al., 1982). A “neurophysiological model” has been proposed here based on multiscale DoG filtering for retinal RF’s implementation. Our goal here is exploring more about the mechanism and the outputs coming from layer two of the retina, and analyse whether this low level visual representation could provide us with enough information for revelling the tilt illusion effect or not?

4.1 Multiscale Implementations of Difference of Gaussians (DoG)

Difference of Gaussians is a filtering technique for identifying the edges and multiscale representation of DoG filters can produce the multiscale edge map. DoG edge detection process starts by first performing a Gaussian blurring with a specified sigma (σ) on the original image, results in a blurred

version of the image. Then another blurring with the second Gaussian with sharper sigma (finer scales) produces the second output with less blurring effect. The final result calculated by finding the difference between the two blurred results of the original image. The zero crossings of the final result define the edges, as their pixel values having some variation in their surrounding neighbourhood.

For a 2D signal such as pattern I, the DoG output of our retinal GCs model with centre surround organization is given by:

$$\Gamma_{\sigma, K\sigma}(x, y) = I * \frac{1}{2\pi\sigma^2} e^{-(x^2+y^2)/(2\sigma^2)} - I * \frac{1}{2\pi K^2\sigma^2} e^{-(x^2+y^2)/(2K^2\sigma^2)} \quad (1)$$

where the distance from the origin in the horizontal and vertical axes are x and y respectively, σ is the sigma of centre Gaussian, and $K\sigma$ indicates the sigma of the surround Gaussian. Therefore based on the K factor, the ratio of the surround Gaussian to the centre Gaussian is defined. This is the retinal PSF introduced in section 3.3 modelling lateral inhibition in layer2 of the retina.

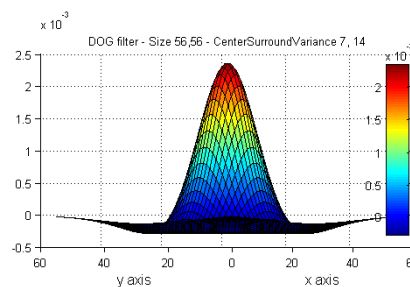


Figure 3: Difference of Gaussian filter with sigma of 7 for the centre and 14 for the surround. Kernel size is 56×56.

A 3D graph of a sample DOG filter is shown in Figure3. The value of K in our model set to 2, as used in the ODoG model (Blakeslee & McCourt, 2004), but ours have a circular centre surround instead of the oriented elongated surround (elliptical surround) used in the ODoG model. By increasing the K factor in Equation1, the surround suppression affect more on the final predicted output of the model. Rather than the K factor, the DoG filter size is another parameter in the model. Very large kernel results in long computation, and very small Gaussian kernels are just approximating a box blur filter not weighted Gaussian one. We set a parameter to define the kernel size based on the sigma of the centre Gaussian and tested different ratios of 3,5,10 and 20

related to the sigma value of the centre Gaussian. For the experimental results in section 4.4 the kernel size set to 3 times larger than the centre Gaussian to capture the inhibition effect as well as the excitation. Although DoG filtering increases the visibility of multiscale edges and elimination of random noise, but it has an effect on overall reduction in image contrast due to the nature of its blurring operation.

What we found is that the model is not sensitive to exact parameter setting. If the model's parameter defined in a way that in its finest scale, it can capture high frequency texture details and in its coarsest scale the kernel has appropriate size relative to the objects inside the scene, then this would be enough. So the model's output as the final percept would be reached sooner or later even based on different sets of model's parameters.

The suggestion of involvement of higher order Gaussian derivatives suggested by Marr's LoG approximation in retinal image processing (Marr & Hildreth, 1982), and the idea used on many researches such as Young (1985, 1987) who applied linear combination of Gaussian and LoG instead of DoG (Figure1), but there is still no biological evidence for the structure of these functions.

Powers (1983) also proposed an ontogenetic Bernoulli-like model showing that an appropriate lateral interaction function can self-organize, and can approximate many existing mathematical models, including DoG models and LoG models (emergent as two levels of DoG processing) noting that processing is not particularly sensitive to the parameterization or shape of the filter function. Indeed cluster-level aggregates of Powers' Bernoulli model approximate to Poisson and Gaussian models.

The idea of scale-space analysis is based on image decomposition, then finding the differences between a pair of scaled filters (e.g. DoG or LoG) with different parameterizations, which then used to create a pyramidal scale hierarchy (Lindeberg, 2011). Our model has a multiscale stack of filtered outputs to reveal the final percept.

Note that self-organization models of repeated patterns of edge detectors at particular angles (von der Malsburg, 1973) followed by higher level spatial aggregation of arbitrarily spaced spots and discontinuous edges in turn gives rise to analogues of DCT and DWT type bases, the latter with localization determined by the higher level lateral interaction functions or equivalently an underlying probabilistic connectivity model (Powers, 1983).

Building a pyramid with additional scales or multiple models is over-complete but has the potential to provide a lower error model of the data,

and in particular is more likely to provide the information at the level of detail required for a particular image or application. This would in turn support the connections of Marr's raw primal to full primal sketch and his speculation of 3D structure above the edge map (Marr & Hildreth, 1980). Our results show evidence for this primitive causal effect in low level retinal visual processing in terms of perceptual illusion models. These effects can in turn be expected to contribute to higher level models of depth and motion processing, and thus connect to the high level top-down explanations of visual processing.

4.2 Investigated patterns

The patterns we have investigated here are given in Figure4. All of the patterns in this class have a background effect (such as checkerboards) as well as other clues such as mortar lines in the Cafe wall illusion or superimposed dots on complex bulge patterns, which all affect the final percept. From now on, we refer to this type of tilt illusions as 2nd order tilt effects. The complex bulge pattern designed by Kitaoka and similar generated patterns produced by the authors given in Figure5 belong to 2nd order tilt effect illusions, and the superimposed dots on their backgrounds give some impression of foreground background percept. Different position of dots on their textured background, result in some tilt, bow or wave perception along the edges as well as expansion and contractions on checkers corners.

4.3 Alternate explanations

Results from psychophysical and computational research have shown that the low level visual processing models are able to explain some low level visual illusions. As an example, the ODoG model presented by Blakeslee and McCourt (2004) claimed to be a parsimonious model for brightness induction illusions (Kingdom, 2011) with the ability to predict both the illusion magnitude as well as its orientation. For improvement of global normalization step in the ODoG model, two extensions of the model proposed by Robinson et al. (2007) to implement local normalization of multiscale oriented outputs. There are other similar models based on higher order derivatives of Gaussians (Ghosh et al., 2007).

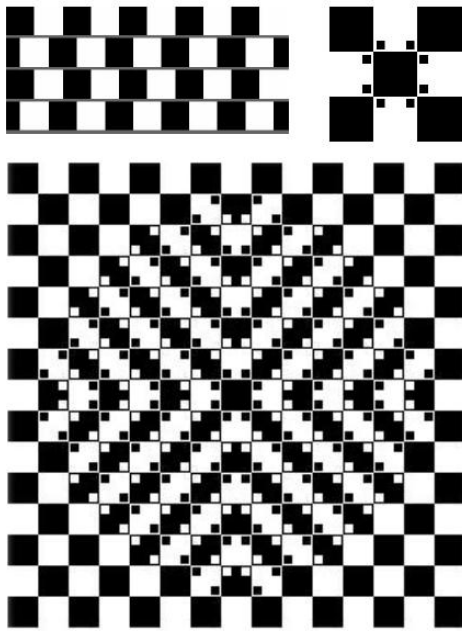


Figure 4: Investigated patterns (top): Café Wall, simple bulge Checkerboard, and (bottom) Complex bulge pattern (Akiyoshi Kitaoka)- <http://www.ritsumei.ac.jp/~akitaoka/index-e.html>

Some researchers have attempted to explain some of the geometrical illusion patterns investigated here and some brightness illusion patterns by using high level visual models, such as the perceptual inferences and fill in models proposed by Grossberg and Todorovic (1988), as well as Gestalt grouping and junction analysis (Gilchrist et al., 1999). But we believe that the multiscale oriented filtering, as a low level processing mechanism, can provide us enough information to answer some of the geometrical illusion effects without spending high computational cost on high level visual models.

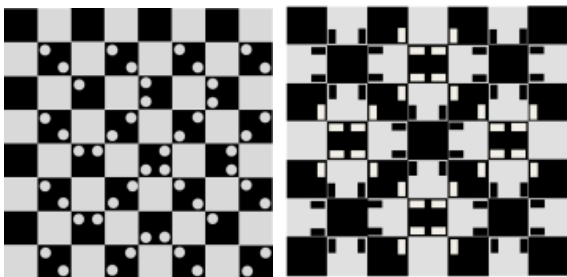


Figure 5: Similar complex bulge patterns with circular and rectangular superimposed dots on a checkerboard result in 2nd order tilt effects.

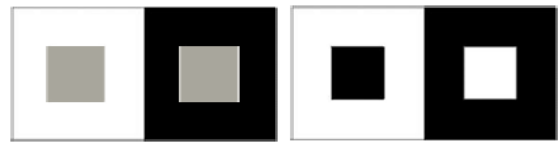


Figure 6: (left) SBC (Simultaneous Brightness Contrast) illusion, where identical gray test patches appear with misperception of brightness, and (right) Irradiation pattern where equal sized black and white test patches appear with the misperception of size.

There are some previous experimental researches (e.g. Jameson 1985; Westheimer, 2007) connecting ‘brightness induction’ illusions and ‘geometric illusions’, related to our study. For instance, some explanations for ‘SBC’ (Simultaneous Brightness Contrast) (Figure6-left) illusion, where a gray test patch looks darker on a white background compared to an identical patch on a black background, suggested the involvement of some neurons with small excitatory centre and elongated surround (nCRFs) either implemented with “wavelet based modelling” (Otazu et al., 2008) or “DoG based models” (Blakeslee & McCourt, 1999, 2004).

Another similar illusion is ‘irradiation pattern’ (Figure6-right) in which two equal size test patches of white and black, when positioned on the opposite colour background, result in size misperception and white patch on the black background appears larger. Westheimer (2007) explained the irradiation effect and Café Wall illusion by addressing the border shift in those patterns due to the retinal light spread, compressive nonlinearity and the centre-surround organization of retinal cells. He then mentioned other factors involved for the final percept such as cortical stages of straight and sharp borders, pointed corners, slope of lines, and angle shifts.

Therefore the illusion perception in these 2nd order tilt patterns seems to get effect from ‘brightness assimilation and contrast’ as well as some ‘border shifts’ similar to our investigated patterns. So for these categories of illusions, the final percept is not only affected by the brightness induction, but is also certainly influenced by the bulging effect happening in the corners of the test patch, which is basically of geometrical measures not the exact intensity ones. We are looking to find whether our multiscale retinal model is able to address both brightness induction and geometrical clues at the same time or not. The model analysis could potentially be extended to even patterns related to some other brightness induction illusions with some minor changes to the model such as additional multi orientation information.

Some researchers suggest a connection between brightness induction and geometrical illusions by other names, such as “brightness contrast and assimilation” by Jamson (1985), “encroachment of bright regions into dark ones” and “corner effect” in Westheimer (2007), “diagonal grouping” along checkerboard tiles connecting brightness assimilation to the contrast by Gilchrist (1999), “diagonal components” by Ninio (2006) which claim to be the missing clue for the tilt illusion explanations. There thus may be interacting or related mechanism affecting these two supposedly distinct illusion categories, and multiscale oriented spatial filtering could explain the basic underlying mechanism for the appearance of these effects. In a complete review of lightness, brightness, and transparency (LBT), Kingdom (2011) presented a quarter century of new ideas, and mentioned one of the most promising developments in LBT is models of brightness coding based on “multiscale filtering” in conjunction with “contrast normalization”.

The contribution of our work to the current studies is to highlight the multiscale edge map information derived from a bioplausible modelling of CRFs by multiscale DoG filters, and use this multiscale edge representation as a basic neural model that explains low level illusion precepts.

4.4 Model’s predictions and results

A common assumption is that information in the visual systems is processed at multiple levels of resolution, perhaps simultaneously, perhaps sequentially in some sense. The information in each scale of our pyramidal bioplausible representation result creates a new layer of visual information and investigation of this pyramidal output result from different scales provides us the multiscale edge map containing edges, shades around edges, some textures and even may be some clues about the depth information as mentioned in full primal sketch of the 3D scene by Marr and Hildret (1980).

The result of our current experiments shows that the low level visual processing in layer2 of the retina, is able to reveal and explain many unsolved visual illusion perceptions. We have shown the simulation result of our simple multiscale CRF model based on circular centre and surround organization using multiscale DoG based filtering representation. We are currently exploring adding orientation resolution to our model and extending it to nCRFs model based on more recent physiological findings related to orientation based multiscale filtering in the retina (Carandini, 2004; Cavanaugh et

al., 2002; Passaglia et al., 2001; Tanaka and Ohzawa, 2009).

The output results of the 2nd order tilt patterns investigated here are organised in the following Figures (Fig7, 8 and 9) from low to high scale of the DoG filters starting from top-left corner by moving to the right in each row and downwards to go to the next row. We tried to represent the multiscale representation of our bioplausible retinal model, in a way that the output result can be seen easily as a sequence of increasing scales. Also the result shows a sample output for a specific scale of the DoG filter, which highlight the illusion effect well.

The output results on the 2nd order tilt patterns of Café wall, simple 3×3 Bulge patterns, and complex bulge patterns, showed that utilizing simply a multiscale DoG filtering analysis based on classical model for RFs on those patterns, not only revealed the sharp edges when small scale filters are used, but also by increasing the filter scale, other hidden information such as local texture information was revealed as well. These results not only add weights to the findings behind the Jameson’s (1985) contrast and assimilation theory, but also indicated that there are lots of geometrical clues which can be revealed from this bioplausible multiscale representation.

Of those geometrical clues, our model highlights the perception of divergence and convergence of mortar lines in the “Café wall” illusion shown in Figure7. Similar explanation for Café wall illusion is given by other researchers in the field based on low level filtering models (Tani et al., 2006; McCourt, 1983), although there are some psychological explanations for it as well (Gregory & Heard, 1979).

The experimental results show that on the bulge patterns in Figures8 and 9, a bulge effect occurs both in the simple pattern as well as the complex one, which based on our assumption, is happening due to a few visual clues for instance the brightness perception of the checkerboard background causing a simple border shifts outwards for white tiles, the expansions happening in the intersection angles, and some further clues related to local position of dots, which may have frequency discharge or emission results in local border tilts or bow. This might be addressed by high level effects or psychological explanations for bulge effect patterns such as uncertainties in both formation and processing of image features such as points and lines (Fermüller & Malm, 2004) and also categorization of edges based on different intensity values around them (Gregory & Heard, 1979; Kitaoka, 2007), but it has a biological neural explanation for that which we are interested in.

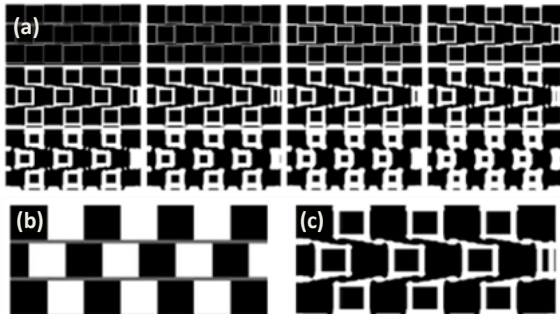


Figure 7: Café Wall results with centre/surround/window in the ratio 1:2:3. (a) Multiscale output result for scale varying from fine to coarse scale starting from the centre Gaussian σ of 1 (top-left), increasing 1 pixel at a time to 12 pixels (bottom-right). Original image for the Café Wall illusion (b) with enlarged output (c) for $\sigma = 6$.

The pyramidal outputs from our model seem to easily connect to Gestalt grouping principles for a psychological point of view that assumes the grouping rules as basic blocks for perception of the world. Our model suggests grouping principals as we find different perceptual groupings occur at different scales of the DoG filter applied to the pattern.

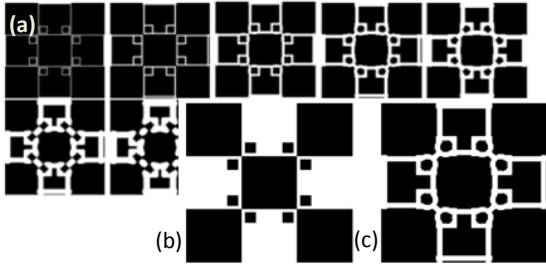


Figure 8: (a) Multiscale output result from $\sigma = 1$ to 7 scale processing for a simple bulge pattern (b) and enlarged output (c) for $\sigma = 4$.

For example in complex bulge pattern for lower scale filters (Fig 9) we first see the central bulge which gradually expands to a level in which another grouping principle dominates in as an X rather than a bulge. In the Café Wall illusion (Fig 7) the appearance of diverging and converging mortar lines when the DoG filter has a mid-range scale appear, and by increasing the scale the effect of mortar lines disappear and another perceptual grouping of tiles along vertical direction opposite to the direction of near horizontal mortar effect start to appear. It is quite likely that this multiscale representation is the underlying mechanism of not only perceptual grouping but also some of the higher level illusion explanatory models.

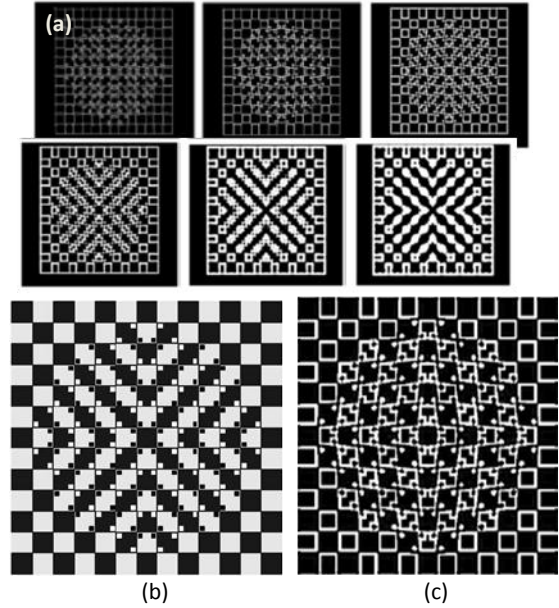


Figure 9: (a) Multiscale output result for $\sigma = 1,2,3$ (first row), and 4,6,8 (second row) for complex bulge pattern (b) with enlarged scale output (c) for $\sigma = 3$.

CONCLUSIONS

We have presented our preliminary investigation of a variant of the classical retinal receptive field model (CRF) that implementing a circular centre and surround mechanism and uses DoG to explain some of the tilt illusion patterns such as Café Wall and both simple and complex bulging patterns which we refer to them as 2nd order tilt patterns. We focus on low level processing based on what takes place in the retinal ganglia. We further expect that these retinal filter models will prove to play a significant role in higher level models of depth and motion processing. Currently the simulations of these high-level explanations for illusion magnitude and error predictions result in very complex CV models, which tend not to generalize. In our future work we are extending the model to a non-classical receptive field (nCRF) model with circular centre and elongated surrounds inspired by our visual system, and moving to identify angles of orientation and motion quantitatively.

The experimental results showed that the output of the model could provide us not only the multiscale edge map as the indications for some shades around the edges, but also we get other information such as local texture information hidden in the pattern as well. In this multiscale representation, the information from each scale of

DoG filtering creates a new layer of visual information.

The outputs from different scales of the DoG filter can then be integrated to generate a multiscale pyramid of the outputs generated by the DoG model. This multiscale pyramidal representation provides us with enough information to start processing and getting some preliminary 3D percept of the pattern, including information of edges, shades, some textures and even may be some preliminary clues about the depth information, as mentioned by Marr's speculation of full primal sketch to complete our 3D view of the world.

This multiscale filtering representation can be used for illusion perception prediction and our future study is on efficient data representation as well as systematic analysis for predicting both illusion magnitude and local shift direction by additional orientation tuning to the model. Also we are keen to make a connection between our bioplausible model with the psychological aspects of Gestalt grouping principles.

REFERENCES

- Ali, H. B., & Powers, D. M. (2014). "Facial Expression Recognition Based On WAPA AND OEPA FASTICA". *International Journal of Artificial Intelligence & Applications*, 5(3).
- Anderson, B. L. (1997). "A theory of illusory lightness and transparency in monocular and binocular images: The role of contour junctions". *PERCEPTION-LONDON-*, 26, 419-454.
- Anderson, B. L., & Winawer, J. (2005). "Image segmentation and lightness perception". *Nature*, 434(7029), 79-83.- Scission theory
- Blakeslee, B., & McCourt, M. E. (1999). "A multiscale spatial filtering account of the White effect, simultaneous brightness contrast and grating induction". *Vision research*, 39(26), 4361-4377.
- Blakeslee, B., & McCourt, M. E. (2004). "A unified theory of brightness contrast and assimilation incorporating oriented multiscale spatial filtering and contrast normalization", *Vision Research*, 44, 2483-2503.
- Burt, P. & Adelson, E. (1983). "The Laplacian pyramid as a compact image code", *IEEE Trans. Comm.*, vol. COM-31, pp. 532-549, Apr.
- Carandini, M. (2004). "Receptive fields and suppressive fields in the early visual system". *The cognitive neurosciences*, 3, 313-326.
- Cavanaugh, J. R., Bair, W., & Movshon, J. A. (2002). "Nature and interaction of signals from the receptive field center and surround in macaque V1 neurons". *Journal of neurophysiology*, 88(5), 2530-2546.
- Chao-Yi, L., & Wu, L. (1994). "Extensive integration field beyond the classical receptive field of cat's striate cortical neurons—classification and tuning properties". *Vision research*, 34(18), 2337-2355.
- Daugmann, J. G. (1980). "Two-dimensional spectral analysis of cortical receptive field profile", *Vision Res.*, vol. 20, pp. 847-856.
- DeValois, R. L., & DeValois, K. K. (1988). "Spatial vision". New York: Oxford University Press.
- Enroth-Cugell, C., & Robson, J.G. (1966). "The contrast sensitivity of the retinal ganglion cells of the cat", *Journal of Physiology (London)* 187 517-552.
- Field, G. D., & Chichilnisky, E. J. (2007). "Information processing in the primate retina: circuitry and coding". *Annu. Rev. Neurosci.*, 30, 1-30.
- Führ, H., Demaret, L., & Friedrich, F. (2006). "Beyond wavelets: New image representation paradigms". *Document and image compression*, 7, 179-206.
- Fermüller, C., & Malm, H. (2004). "Uncertainty in visual processes predicts geometrical optical illusions". *Vision research*, 44(7), 727-749.
- Ghosh, K. Sarkar, S. Bhaumik, K. (2007). "Understanding image structure from a new multiscale representation of higher order derivative filters". *Image and Vision Computing* 25(8): 1228-1238.
- Gilchrist, A., Kossyfidis, C., Bonato, F., Agostini, T., Cataliotti, J., Li, X., et al. (1999). "An anchoring theory of lightness perception". *Psychological Review*, 106, 795-834.
- Gregory, R. L., & Heard, P. (1979). "Border locking and the Café Wall illusion". *Perception*, 8(4), 365-380.
- Grigorescu, C., Petkov, N., & Westenberg, M. A. (2003). "Contour detection based on nonclassical receptive field inhibition". *Image Processing, IEEE Transactions on*, 12(7), 729-739.
- Grossberg, S., & Todorovic, D. (1988). "Neural dynamics of 1-D and 2-D brightness perception: A unified model of classical and recent phenomena". *Perception & Psychophysics*, 43, 241-277.
- Hubel, D. Wiesel, T. (1962) "Receptive fields, binocular interaction and functional architecture in the cat's visual cortex", *J. Physiol.*, vol. 160.
- Jacques, L., Duval, L., Chaux, C., & Peyré, G. (2011). "A panorama on multiscale geometric representations, intertwining spatial, directional and frequency selectivity". *Signal Processing*, 91(12), 2699-2730.
- Jameson, D. (1985). "Opponent-colours theory in the light of physiological findings". In D. Ottoson, & S. Zeki (Eds.), *Central and peripheral mechanisms of colour vision* (pp. 83-102). London: MacMillan.
- Jameson, D., & Hurvich, L. M. (1989). "Essay concerning color constancy". *Annual review of psychology*, 40(1), 1-24.
- Kingdom, F. A. A. (2011). "Lightness, brightness and transparency: A quarter century of new ideas, captivating demonstrations and unrelenting controversy". *Vision Research*, 51, 652-673.
- Kitaoka, A. (2007). "Tilt illusions after Oyama (1960): A review". *Japanese Psychological Research*, 49(1), 7-19.
- Lourens, T. (1995). "Modelling retinal high and low contrast sensitivity filters". In *From Natural to*

- Artificial Neural Computation (pp. 61-68). Springer Berlin Heidelberg.
- Lowe, David G. (1999). "Object recognition from local scale-invariant features". Proceedings of the International Conference on Computer Vision 2. pp. 1150–1157. doi:10.1109/ICCV.1999.790410.
- Lindeberg, T. (2011). "Generalized Gaussian scale space axiomatics comprising linear scale-space, affine scale-space and spatio-temporal scale-space", *Journal of Mathematical Imaging and Vision*, Volume 40, Number 1, 36-81.
- Linsenmeier, R. A., Frishman, L. J., Jakiela, H. G., & Enroth-Cugell, C. (1982). "Receptive field properties of X and Y cells in the cat retina derived from contrast sensitivity measurements". *Vision research*, 22(9), 1173-1183.
- Mallat, S. (1996). "Wavelets for Vision". PROCEEDINGS OF THE IEEE, VOL 84, NO. 4, APRIL 1996
- von der Malsburg, C. (1973). "Self-organization of orientation sensitive cells in the striate cortex". *Kybernetik*, 14(2), 85-100.
- Mangel, S. C. (1991). "Analysis of the horizontal cell contribution to the receptive field surround of ganglion cells in the rabbit retina". *The Journal of physiology*, 442(1), 211-234.
- Marr, D., Hildreth, E. (1980). "Theory of edge detection", *Proc. of Royal Society of London B* 207, 187–217.
- Marr, D. (1982). "Vision", W.H. Freeman and Company, New York. Zero-crossing
- McCourt, M. E. "Brightness induction and the Café Wall illusion". *Perception* 12 (1983): 131-142.
- McGill (2014). *Le cerveau à tous les niveaux*. [ONLINE] Available at: <http://thebrain.mcgill.ca>.
- Merry, R. J. E., & M. Steinbuch. (2005). "Wavelet theory and applications". A literature study, Eindhoven University of Technology.
- Ninio, J., Pinna, B. (2006). "Orthogonal expansion: a neglected factor in tilt illusions". *Psychologia*, 49(1), 23-37.
- Otazu, X., Vanrell, M., & Alejandro Parraga, C. (2008). "Multiresolution wavelet framework models brightness induction effects". *Vision Research*, 48(5), 733-751.
- Penacchio, O., Otazu, X., & Dempere-Marco, L. (2013). "A Neurodynamical Model of Brightness Induction in V1". *PloS one*, 8(5), e64086.
- Passaglia, C.L., Enroth-Cugell, C., Troy, J.B. (2001). "Effects of remote stimulation on the mean firing rate of cat retinal ganglion cells", *Journal of Neuroscience* 21, 5794–5803.
- Powers, D.M.W. (1983). "Lateral Interaction Behaviour Derived from Neural Packing Considerations", Technical Report No 8317, Department of Computer Science, University of NSW, Australia.
- Rao, R. P., & Ballard, D. H. (1999). "Predictive coding in the visual cortex: a functional interpretation of some extra-classical receptive-field effects". *Nature neuroscience*, 2(1), 79-87.
- Robinson, A. E., Hammon, P. S., & de Sa, V. R. (2007). "Explaining brightness illusions using spatial filtering and local response normalization". *Vision research*, 47(12), 1631-1644.
- Rodieck, R.W., & Stone, J. (1965). "Analysis of receptive fields of cat retinal ganglion cells", *Journal of Neurophysiology* 28, 833–849.
- Rosenfeld, A., & Thurston, M. (1971). "Edge and curve detection for visual scene analysis". *Computers, IEEE Transactions on*, 100(5), 562-569.
- Shapley, R., & Hugh Perry, V. (1986). "Cat and monkey retinal ganglion cells and their visual functional roles". *Trends in Neurosciences*, 9, 229-235.
- Smith, R. G., Freed, M. A., & Sterling, P. (1986). "Microcircuitry of the dark-adapted cat retina: functional architecture of the rod-cone network". *The Journal of neuroscience*, 6(12), 3505-3517.
- Smith, S. W. (2003). "Digital signal processing: a practical guide for engineers and scientists". Newnes.
- Smith, V. C., Jin, P. Q., & Pokorny, J. (2001). "The role of spatial frequency in color induction". *Vision Research*, 41, 1007–1021.
- Tanaka, H., & Ohzawa, I. (2009). "Surround suppression of V1 neurons mediates orientation-based representation of high-order visual features". *Journal of neurophysiology*, 101(3), 1444-1462.
- Tani, Y., Maruya, K., & Sato, T. (2006). "Reversed Café Wall illusion with missing fundamental gratings". *Vision research*, 46(22), 3782-3785.
- ter Haar Romeny, B. M. (2003). "A scale-space model for the retinal sampling. Front-End Vision and Multi-Scale Image Analysis". *Multi-Scale Computer Vision Theory and Applications*, written in Mathematics, 167-177.
- Wei, H., Wang, Z. Y., & Zuo, Q. S. (2012). "A model of image representation based on non-classical receptive fields". In *Advances in Neural Networks–ISNN 2012* (pp. 297-306). Springer Berlin Heidelberg.
- Wei, H., Zuo, Q., & Lang, B. (2011, January). "Multi-scale image analysis based on non-classical receptive field mechanism". In *Neural Information Processing* (pp. 601-610). Springer Berlin Heidelberg.
- Westheimer, G. (2007). "Irradiation, border location, and the shifted-chessboard pattern". *Perception*, 36(4), 483.
- Witkin, A.P. (1983). "Scale-space filtering", in: *Proceedings of International Joint Conferences on Artificial Intelligence, Karlsruhe*, pp. 1019–1022.
- Xie, X. Dai, Q. Lam, K. M. Zhao, H. (2008). "Efficient rotation- and scale-invariant texture classification method based on Gabor wavelets", *J. Electron. Imagin*, 17(4), 043026, doi:10.1117/1.3050071
- Young, R.A. (1985). "The Gaussian derivative theory of spatial vision: analysis of cortical cell receptive field line weighting profiles". General Motors Research Publication GMR-4920.
- Young, R.A. (1987). "The Gaussian derivative model for spatial vision: I. Retinal mechanisms", *Spatial Vision* 2, 273–293.